



AdS/CFT：応用の10年

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2019/1 KEK連携コロキウム研究会

はじめに



AdS/CFT (holography) : 強結合の場の理論（ゲージ理論）を解く手法
(詳細は後で)

2005年ごろから「現実世界」に盛んに応用されてきた.

本講演の目的：

- AdS/CFTの初步
- 主な応用

一つのきっかけ



American Physical Society annual meeting 2005

RHIC 実験のプレス・リリース (April 18, 2005)

"The possibility of a connection between string theory and RHIC collisions is unexpected and exhilarating,"
(Director of the DOE Office of Science)

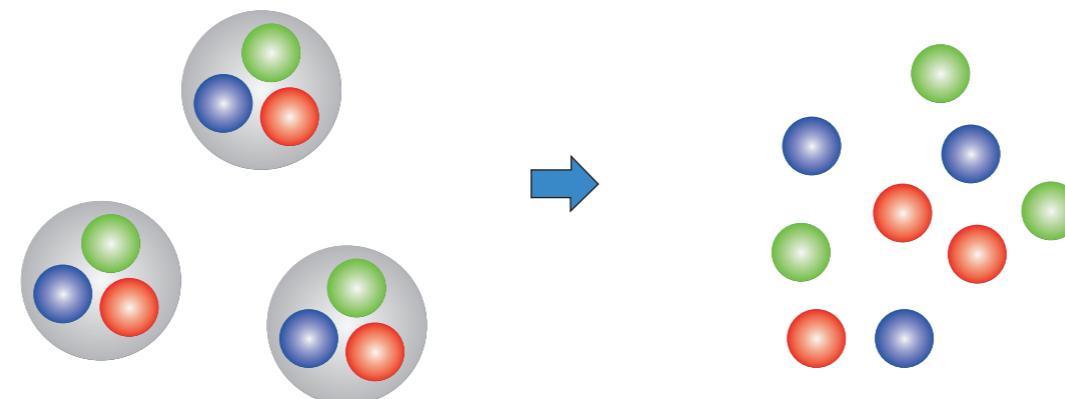
- 大型実験の報告で超弦理論が言及されたのは初めて
- AdS/CFTの結果が実験とよく一致

クォーク・グルーオン・プラズマ



陽子・中性子等（ハドロン）は基本的ではなく、クォークやグルーオンからなる

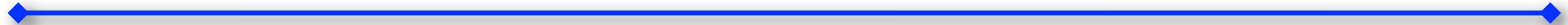
通常の状況下では**強い力**によって「閉じ込め」られている



しかし、十分高温（約2兆度）では閉じ込めから解放され、クォークやグルーオンの自由度が顕在化

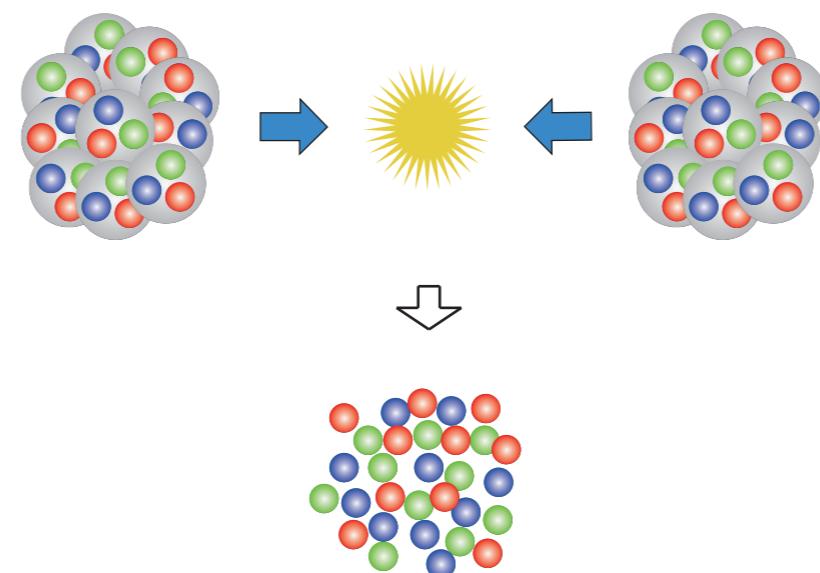
→ クォーク・グルーオン・プラズマ (QGP)

重イオン実験



重イオン実験では (e.g. RHIC & LHC), 重イオン同士 (e.g. ^{197}Au) を衝突させる。

十分高温であれば、ごく短時間 ($< 10\text{fm}/c$) QGPが生成される



RHICの発見



重イオン実験によると

QGP は流体として振るまう。それも
粘性ゼロの完全流体に近い。

注：より正確には、小さいのは “ η/s ”

η : ずり粘性 (shear viscosity)

s : エントロピー密度

一般に

$$\eta \propto l_{mfp}$$

l_{mfp} : 平均自由行程

↓強結合で短くなる

→ 完全流体は強結合極限。摂動論？

→ AdS/CFT?

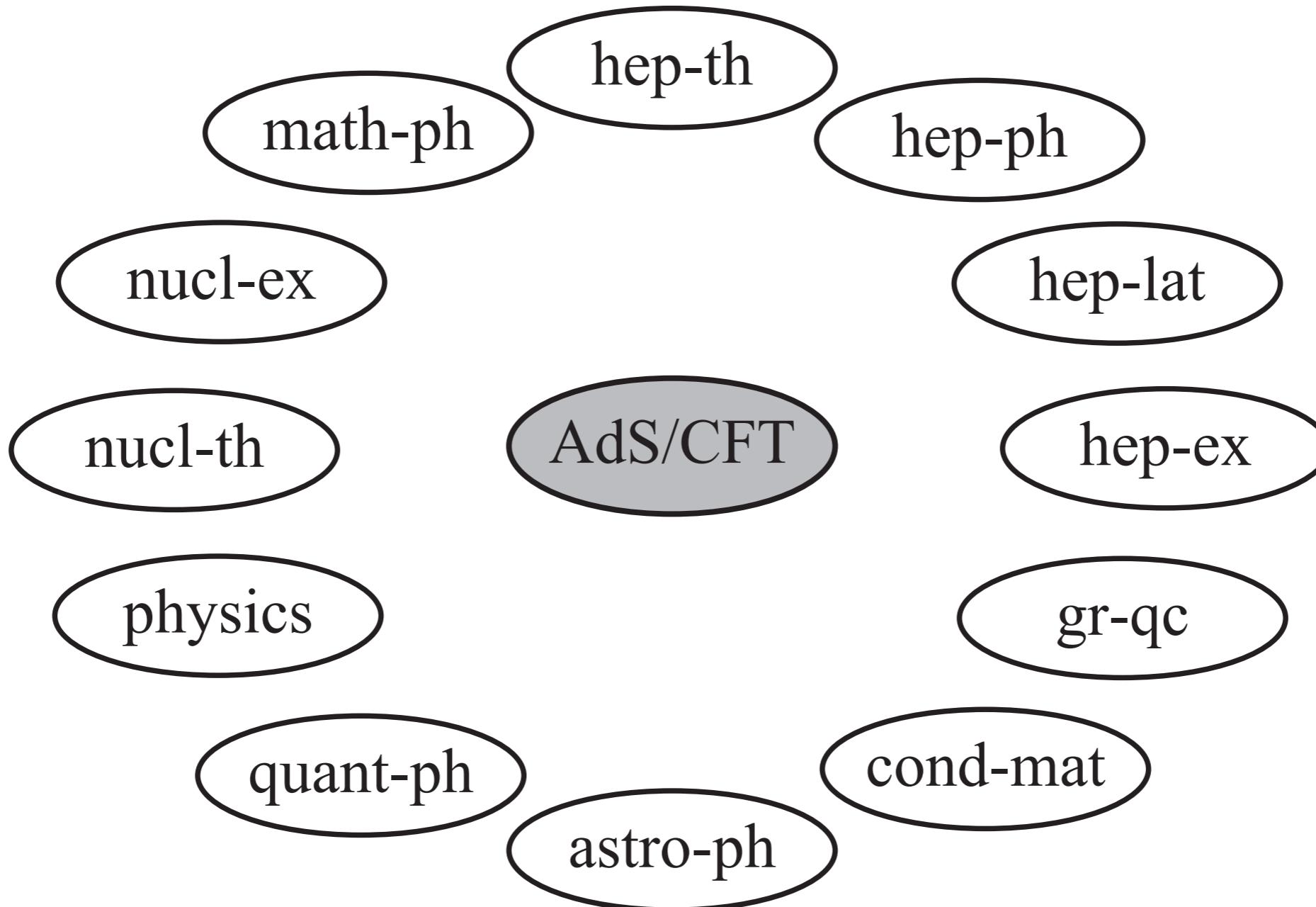
主な応用分野



RHICが一つの契機となり、AdS/CFTは「現実世界」に盛んに応用されてきた。例えば

- クォーク・グルーオン・プラズマ (QGP)/QCD
- 非平衡物理 (流体力学)
- 非線形物理 (乱流, カオス)
- 物性物理
- 量子情報

Discussed in ALL physics arXivs!



AdS/CFT



有限温度 $SU(N_c)$ ゲージ理論 = AdS ブラックホール時空での
の強結合極限 重力理論



有限温度系



ホーキング放射により有限温度系

4次元時空 “Boundary”

5次元時空 “Bulk”

“holography”

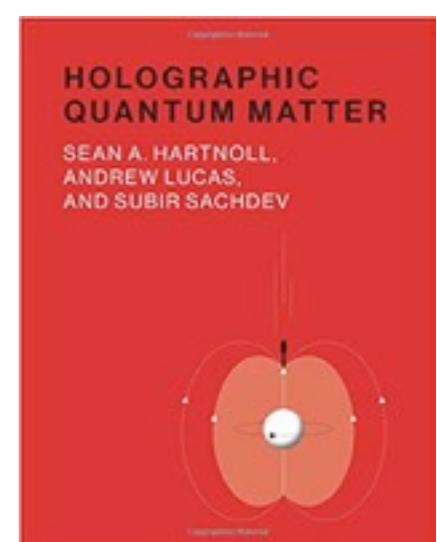
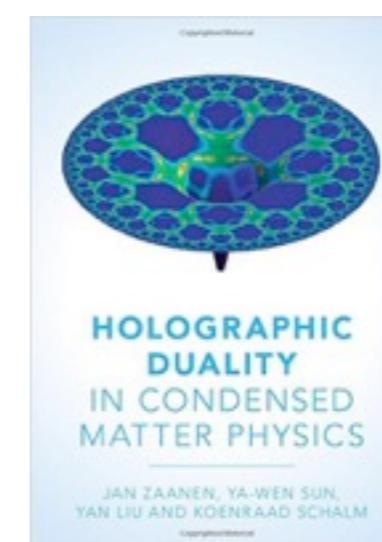
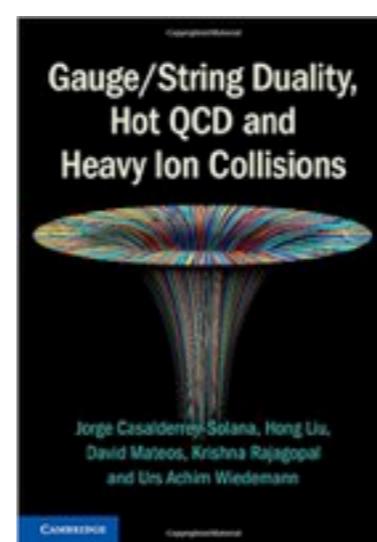
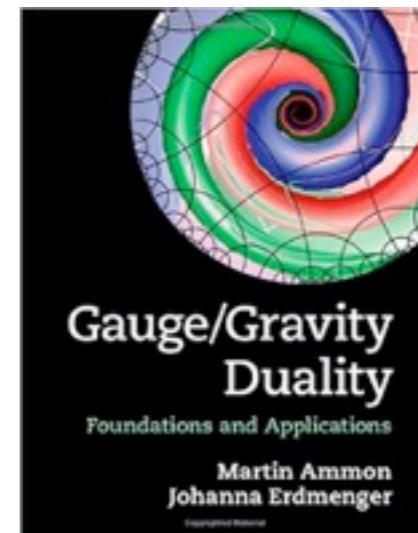
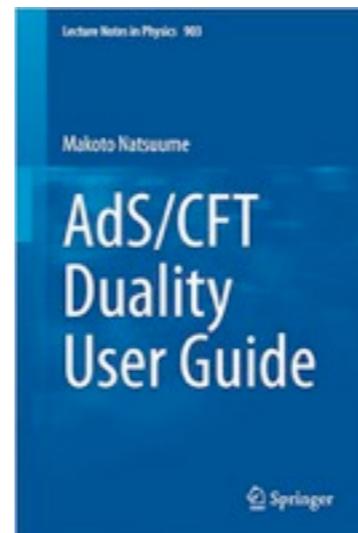
コメント



- 初心者には馴染みのない専門用語や概念が多数登場しますが、現時点では気にしないで下さい。徐々に説明します。
- とりあえず頭の片隅に留めておいてもらいたいことは
 - AdS/CFT はゲージ理論の強結合極限を計算（弱結合では重力理論には見えないから）
 - ゲージ理論と重力理論は違う時空次元に住んでいる
 - 有限温度だからブラックホール

Many textbooks available by now

- “AdS/CFT duality user guide”
- “Gauge/Gravity duality: foundations and applications”
- “Gauge/String duality, hot QCD and heavy ion collisions”
- “Holographic duality in condensed matter physics”
- “Holographic quantum matter”



Are they same?



Sometimes people use different names for AdS/CFT:

holography
gauge/gravity duality
gauge/string
bulk/boundary
Maldacena's conjecture

...

They basically mean the same thing.
I use AdS/CFT & holography interchangeably.

Note: Even if we call “AdS/CFT”, it may include non-AdS/non-CFT

アウトライン



- イントロ：QGP & AdS/CFT } 15 min
- AdS/CFTの初步
ブラックホールの物理を中心として } 20 min
- 応用例
 - QGP
 - 物性系 } 15min×2

「輸送」と「相図」の話とも言える

アウトライン



- カバーしないこと
 - AdS/CFTの詳細な証拠
 - 具体的な計算手法
 - 超弦理論との関係
 - 包括的なレビュー：関連論文はおそらく数千

“Intuitive holography”

Motivating holography



There are many reasons to trust AdS/CFT.

- Black hole thermodynamics

- Large- N_c gauge theories

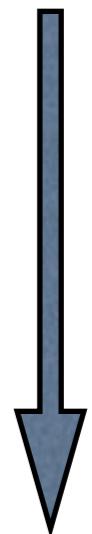
perturbative expansion = genus expansion \sim string theory expansion

- D-branes

D-branes reduce to YM and BHs in appropriate limits

In addition, many circumstantial evidences

comparing various quantities both from gravity and from gauge theory pt of view



more convincing,
but more technical

Yet no complete proof

I'll cover only BH thermodynamics in this talk.

Main focus is the following question:

Can BHs describe standard statistical systems?

BH thermodynamics



If BH can ever describe statistical systems, BH must be a thermodynamic system above all things.

Statistical system



Black Hole

Thermodynamics

“BH thermodynamics”

Temp
Energy
Entropy

Hawking temp
BH mass
BH entropy

- BH has the notion of temperature due to Hawking radiation.
- BH satisfies thermodynamic-like laws (0th-3rd)

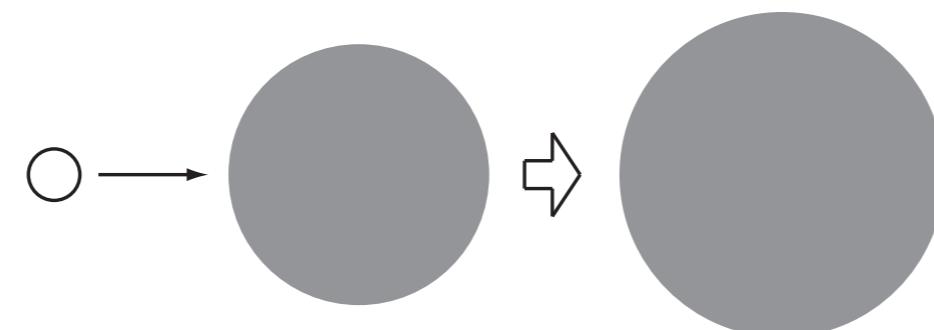
2nd law



BH horizon (Schwarzschild): located at

$$R = \frac{2GM}{c^2}$$

If matter falls in, BH horizon area $A = 4\pi R^2$ increases



Nothing comes out from the horizon (classically), A is a nondecreasing quantity \leftrightarrow entropy?

$$S_{BH} \propto A?$$

BH is a thermodynamics object?

Dimensional analysis



In fact, Hawking ('74) shows that BH radiates (due to matter quantum effect) and has temperature.

For Schwarzschild BH, thermodynamic quantities can be derived from dimensional analysis.

Below $\hbar = c = k_B = 1 \Rightarrow L = s = M^{-1}$

- T : only length scale R

$$T \propto 1/R$$

- E : M

- S : 1st law

$$dE = TdS$$

$$\rightarrow \frac{1}{G} dR \sim \frac{1}{R} dS \quad R = 2GM$$

$$\rightarrow S \sim \frac{R^2}{G} \sim \frac{A}{G}$$

w/ numerical factors and restoring units,

$$T = \frac{\hbar c}{4\pi R k_B}$$

$$S = \frac{A}{4G\hbar} k_B c^3$$

“area law”

We use Schwarzschild as an example,
but BH entropy is **always** given by $S_{\text{BH}} = A/(4G)$ if the gravitational action is
written by Einstein-Hilbert action i.e.

$$\frac{1}{16\pi G_d} \int d^d x \sqrt{-g} R$$

e.g. Wald textbook (1994)

Area law is universal

cf. The above form of T
is not universal

Back to the question



“Can BH describe standard statistical system?”

- BH entropy in 4d

$$S_{BH} \propto A = V_2$$

- Statistical systems

$$S \propto V_3$$

4d BHs cannot describe 4d statistical systems.

5d BHs



But notice

$$\begin{array}{ccc} & \text{BH} & \text{statistical} \\ \text{4d spacetime} & S_{BH} \propto A = V_2 & \xleftarrow{\times} S \propto V_3 \\ \text{5d} & \propto A = V_3 & \swarrow \end{array}$$

If a BH can ever describe a usual statistical system, the BH must live in five-dimensional spacetime.



Prob of specific heat

However, “usual” BH (Schwarzschild) has a negative specific heat

$$T \propto 1/R \propto 1/M$$

$$C = \frac{\partial M}{\partial T} < 0$$

→ no stable equilibrium

This behavior differs from standard statistical systems

e.g. Stefan-Boltzmann

$$\epsilon \propto T^4 \Rightarrow C = \frac{\partial \epsilon}{\partial T} > 0$$

AdS BH



Schwarzschild BHs live in flat spacetime.

But BHs do exist even in curved spacetime.

AdS BHs (BHs in anti-deSitter spacetime) are special and do have positive specific heat.

Usual BHs cannot describe statistical systems,
but AdS BHs can

Scale-inv geometry



Curved spacetime is fine to consider if it has a 4-dim flat “interpretation”
→ 4-dim Poincare inv.

AdS spacetime is such a geometry w/ a special property

$$ds^2 = r^2 \underbrace{(-dt^2 + dx^2 + dy^2 + dz^2)}_{\text{4d Minkowski}} + \frac{dr^2}{r^2}$$

■ Symmetry

- Lorentz $\text{SO}(1,3)$ & translations
- Scale inv. $t \rightarrow at, \vec{x} \rightarrow a\vec{x}, r \rightarrow r/a$
- Actually, they combine into a larger sym, $\text{SO}(2,4)$

AdS BH



$$ds^2 = r^2 \underbrace{(-\mathbf{f} dt^2 + dx^2 + dy^2 + dz^2)}_{\text{"planar" horizon}} + \frac{dr^2}{r^2 \mathbf{f}}$$
$$\mathbf{f} = 1 - \left(\frac{r_0}{r}\right)^4$$
$$r = r_0 : \text{horizon}$$

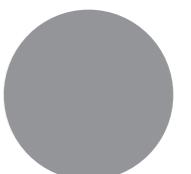
cf. 4d Schwarzschild:

$$ds^2 = \dots + r^2 \underbrace{(d\theta^2 + \sin^2 \theta d\varphi^2)}_{\text{spherical horizon}}$$

- planar horizon \leftrightarrow gauge theory on \mathbb{R}^3



- 4-dim flat BH: horizon topology must be S^2
 \rightarrow another reason why curved spacetime
- It obeys Stefan-Boltzmann: $\epsilon \propto T^4$



Summary so far

Can a BH describe a usual statistical system?

- “Area law” → necessity of higher dim.
- BH in flat spacetime: not suitable due to negative specific heat → curved spacetime
- BH in curved spacetime must have 4d flat spacetime interpretation
- 4d Poincare & scale inv. → AdS5
- AdS5 actually has a larger sym. $\text{SO}(2,4)$
AdS BH obeys Stefan-Boltzmann

But what kind of statistical systems?



Let's accept the argument so far.

What kind of statistical systems AdS BHs describe?

Holography claims AdS BHs describe
large- N_c gauge theories

Large- N_c gauge theories

$U(1)$: QED
 $SU(3)$: QCD
⋮
 $SU(N_c)$

2 “parameters”:

- coupling constant g_{YM} → instead use ‘t Hooft coupling $\lambda := g_{YM}^2 N_c$
- # of “colors” N_c

$N_c \gg 1 \rightarrow 1/N_c$ expansion

$\lambda \ll 1 \rightarrow$ weak coupling: perturbative expansion in λ

$\lambda \gg 1 \rightarrow$ strong coupling

→ AdS BHs describe this limit

Scale-inv gauge theory



Since AdS is scale inv, our gauge theory should be so as well.

Pure gauge theory in 4d (e.g. Maxwell)

- Classical: scale inv
- Quantum: not inv ($\beta \neq 0$)

Special class of gauge theories w/ $\beta=0$ exist.

simplest: $\mathcal{N}=4$ super-Yang-Mills (SYM)

↑: # of supersym.

gauge field + 4 fermions + 6 scalars
(all in adjoint reps)

→ scale & Poincare inv combine into a larger sym $SO(2,4)$,
4d conformal inv. **conformal field theory (CFT)**

$\mathcal{N}=4$ SYM has the same sym as AdS5

QGP application (Transports)

Transports



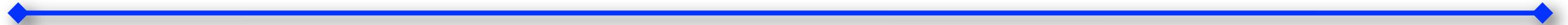
Next simplest situation:
add perturbs. & see how they decay (relaxation)

- Nonequilibrium statistical mechanics or hydrodynamics
- important quantities: **transport coefficients**

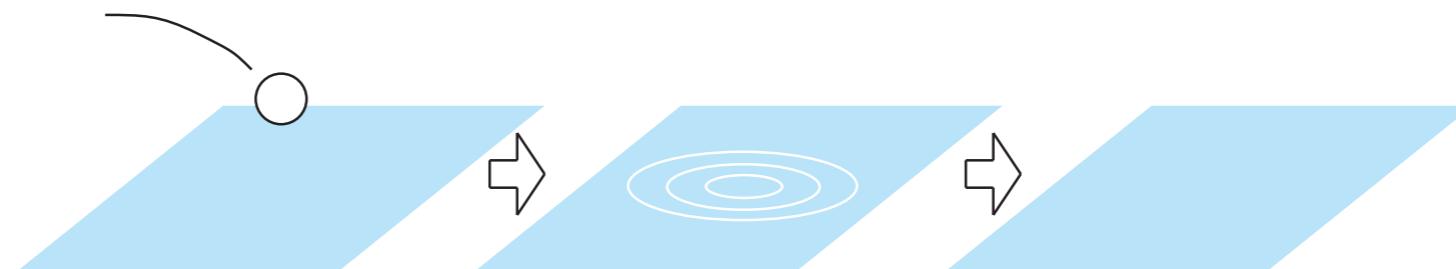
e.g. (bulk & shear) viscosity
speed of sound
conductivity
...

If AdS/CFT is correct, BHs and hydrodynamic systems should behave similarly.

BH and hydrodynamics

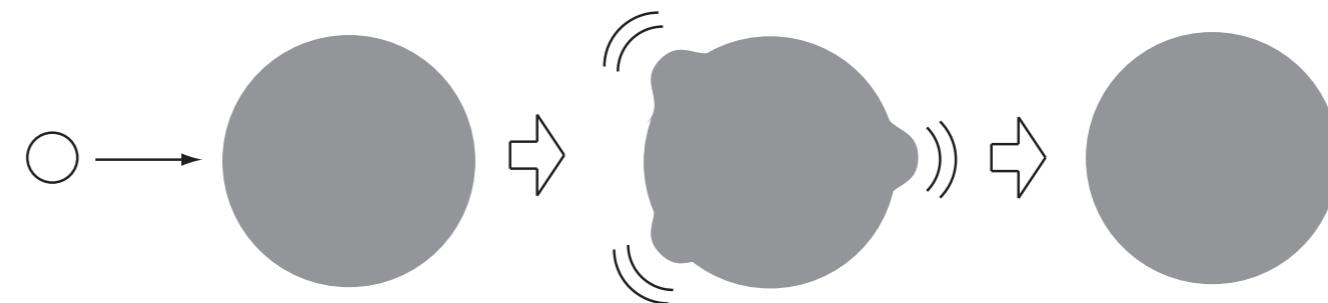


Water pond:



The dissipation: consequence of viscosity

BH:



The dissipation: consequence of BH absorption
→ horizon: origin of dissipation

One can compute transports thru such a process.

One lesson



Universal relations can exist for transports in strong coupling limit (of large- N_c theories).

From BH pt of view, this comes from universal nature of horizon.

e.g. η / s , chaos bound...

η : shear viscosity
 s : entropy density

Universality of η/s



According to AdS/CFT

$$\frac{\eta}{s} = \frac{\hbar}{4\pi k_B}$$

in large-Nc limit

Only violations:

Natsuume - Ohta, 1008.4142

Erdmenger et al., 1011.5912

conformal plasma ($\mathcal{N}=4$ SYM)

Policastro - Son - Starinets, 0104066

nonconformal plasmas

Kovtun - Son - Starinets, 0309213
Buchel - J.Liu, 0311175

Plasmas in different dimensions

Herzog, 0210126
Kovtun - Son - Starinets, 0309213

Plasmas at finite chemical potential

Mas, 0601144
Son - Starinets, 0601157; Saremi, 0601159

Maeda - Natsuume - Okamura, 0602010
Mateos - Myers - Thomson, 0610184

Plasmas w/ fund. reps.

Time-dependent plasma

“General proof”

Janik, 0610144

Kovtun - Son - Starinets, 0405231

Benincasa - Buchel - Naryshkin, 0610145

QGP viscosity



- The result is universal
- If large- N_c limit is a good approx to QCD, it may hold even to QGP

So, let's compare.

- AdS/CFT:

$$\frac{\eta}{s} = \frac{1}{4\pi}$$

- RHIC:

$$1 < 4\pi \frac{\eta}{s} < 2.5 \quad \text{for } T_c < T < 2T_c$$

Song - Bass - Heinz - Hirano -Shen, 1011.2783 [nucl-th]

- Lattice (pure SU(3) YM):

$$1 < 4\pi \frac{\eta}{s} < 2 \quad \text{for } 1.2 T_c < T < 1.7 T_c$$

Meyer, 0704.1801 [hep-lat]

Why universal?



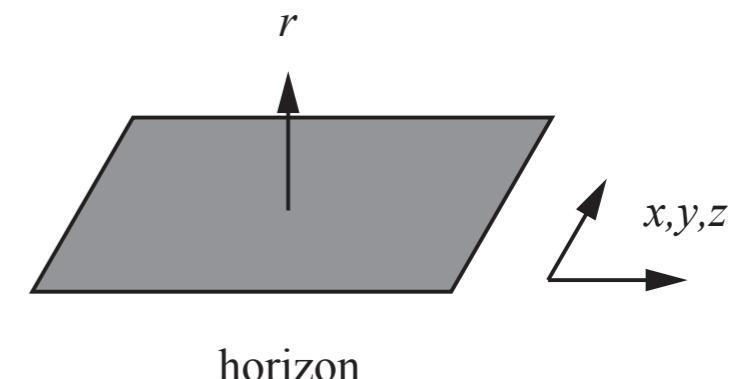
Related to the “universal” nature of BH

e.g. Black hole entropy:

$$S_{BH} = \frac{A}{4G_5}$$

“Planar” horizon \rightarrow entropy density

$$S_{BH} = \frac{a}{4G_5}$$



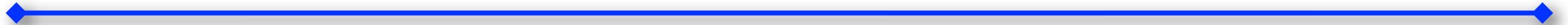
horizon

a : “horizon proper area density”
 $A_3 = a V_3$, V_3 : bdy volume

One can show $\eta \propto a$, so $\eta / s = \text{const}$

Similarly, many universal results are related to physics @ horizon

Why universal?

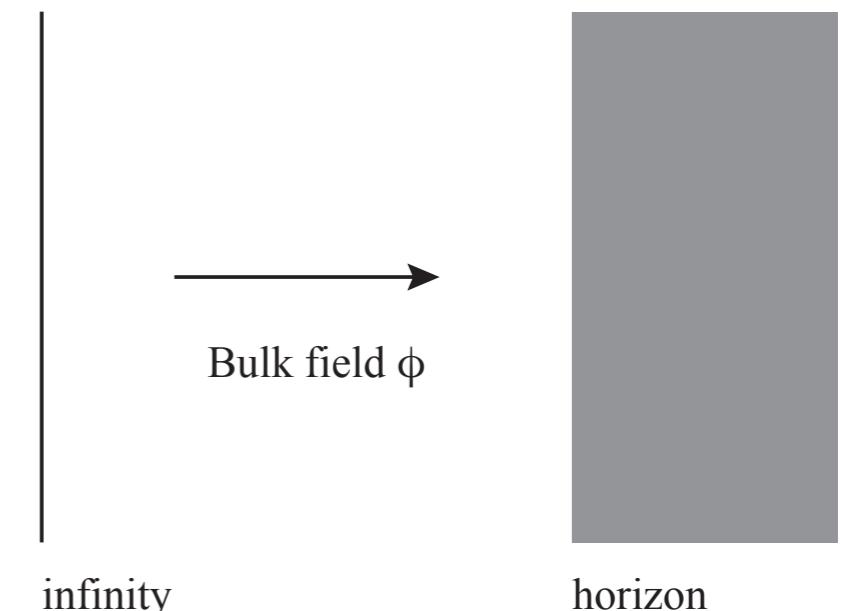


I haven't explain how to compute transports, but

- Choose appropriate bulk puretursts.
- Add them at infinity
- Solve bulk EOM (under appropriate BCs)

In principle, whole AdS spacetime would matter to computations.

But in some cases, **only near-horizon region** matters. When this happens, one has universal results.



Viscosity bound



In strong coupling limit,

$$\frac{\eta}{s} = \frac{1}{4\pi}$$

At finite coupling, one expects a larger η/s
(finite 't Hooft coupling λ)

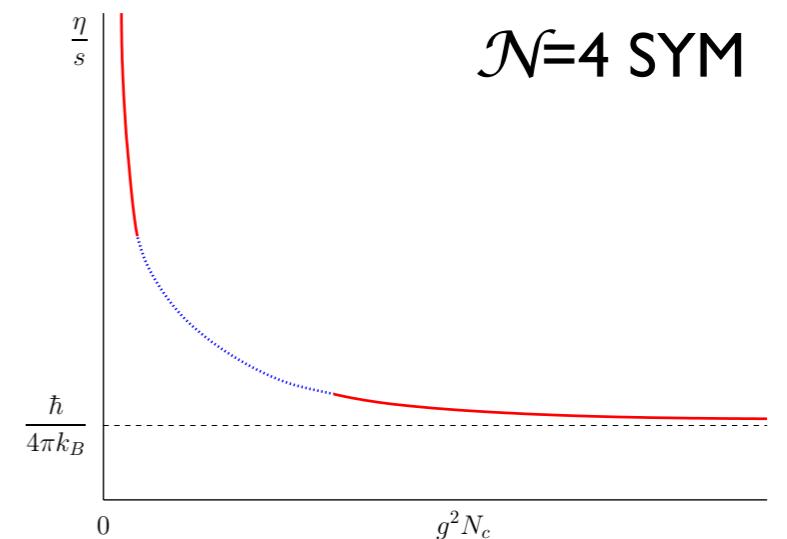
$$\eta \propto l_{mfp}$$

Holography tells perfect fluid cannot exist.

Conjecture: any fluid satisfies

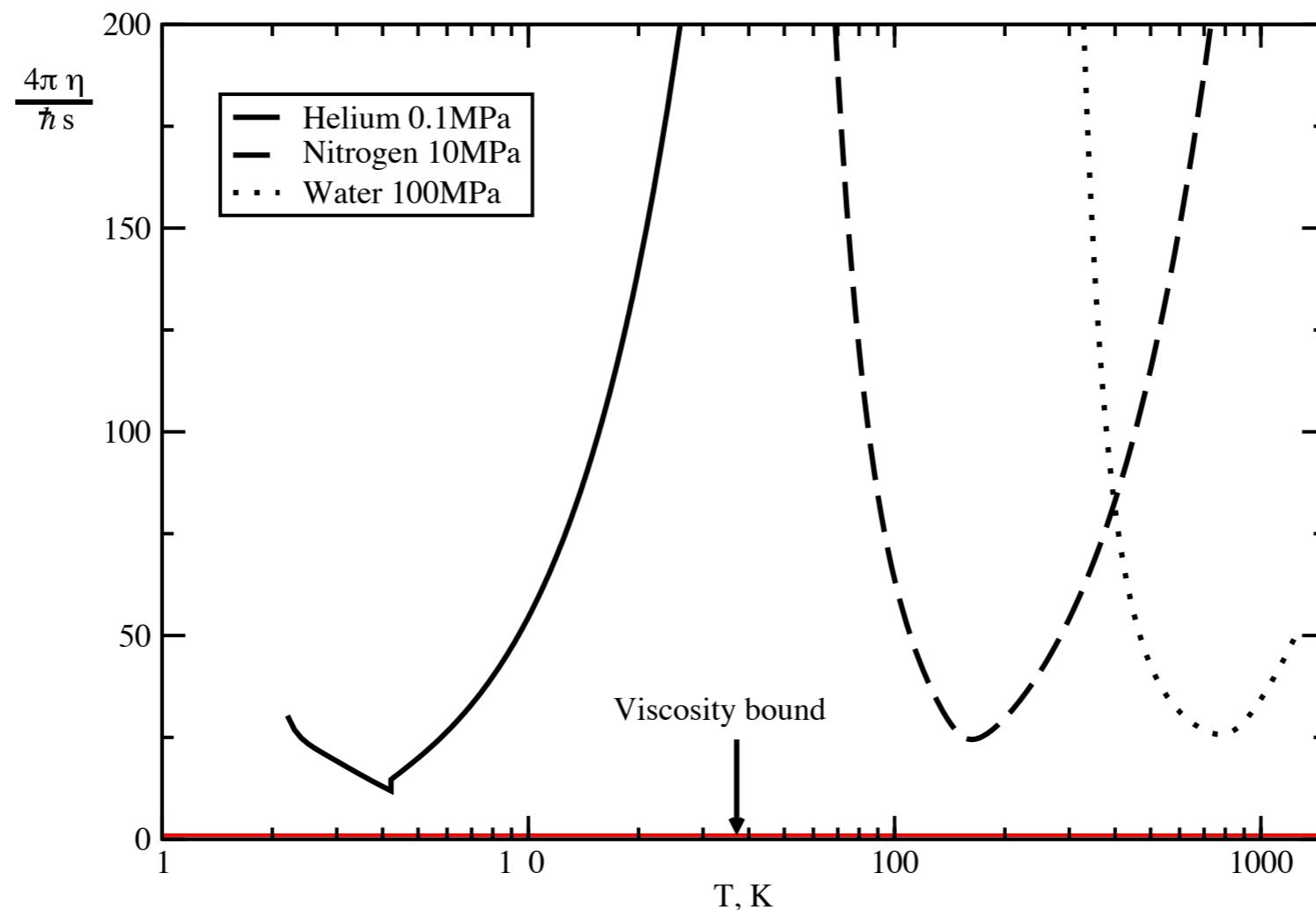
Kovtun - Son - Starinets, 0405231

$$\frac{\eta}{s} \geq \frac{1}{4\pi}$$



Viscosity of real materials

Kovtun - Son - Starinets, 0405231



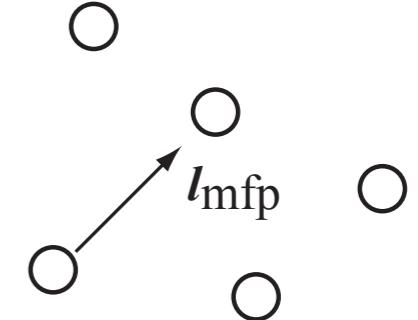
Note: Helium has nonzero viscosity (due to superfluid+normal components)

Rough argument

$$\left\{ \begin{array}{l} \eta \sim \rho v l_{mfp} \\ s \sim \frac{\rho}{m} \end{array} \right. \quad \rho: \text{mass density}$$

Then, the bound should hold if quasiparticle picture is valid:

$$\frac{\eta}{s} \sim m v l_{mfp} > \hbar$$
$$\Rightarrow l_{mfp} > \lambda_{deBroglie}$$



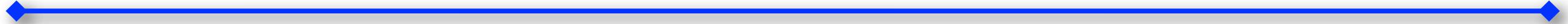
Note: Counterexamples are known, so the bound is not strictly true, but the existence of a bound is physically natural.
e.g. Cremonini, 1108.0677

CM applications (phase diagram)

Condensed-matter in holography?

- Often strongly-correlated systems in condensed-matter physics (e.g. High-T_c), nice if one could have dual gravity descriptions
- Unclear if any large-N_c theory is hidden beyond those condensed-matter systems
- Our approach:
 - Put aside the correspondence w/ real systems for the time being.
 - Simply realize interesting CM behaviors in large-N_c theories.

One lesson



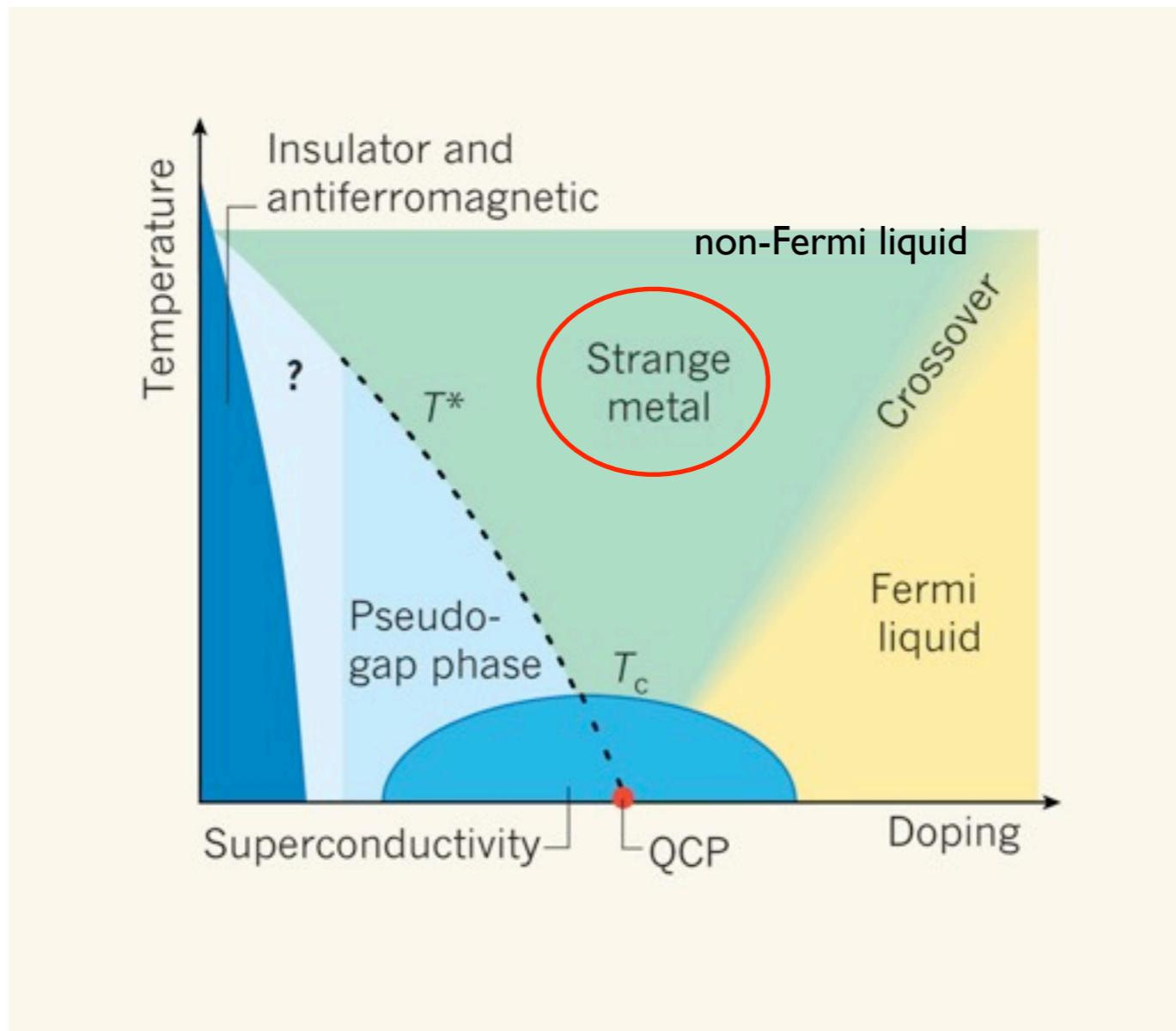
The phase diagram of (strongly-coupled) gauge theories
are as rich as condensed-matter systems

Not surprising, people have known this thru QCD studies.
(cd. Itakura-san's talk?)

Conversely, AdS BH phase diagrams: richer than usual BHs

Typical high-T_c phase diagram

One goal is to understand high-T_c



Varma, Nature 468, 184 (2010)

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- We do not understand many regions of phase diagram:
 - non-Fermi liquid (strange metal)
 - quantum critical pt
 - pseudo-gap region
- Holographic description for (some of) each phases separately:
 - superconductor
 - Fermi liquids
 - non-Fermi liquids
- But we are still far

Adding matters



$\mathcal{N}=4$ SYM is described by pure gravity:

$$\mathcal{L} = \sqrt{-g}(R - 2\Lambda)$$

Λ : cosmological const.

It has only plasma phase (due to scale inv), phase diagram: not very interesting

Adding matter fields: more interesting phase diagram

↔ adding relevant/marginal perturbs.

In condensed-matter, one typically considers charged matter (e.g. e^-), so consider Einstein-Maxwell

$$\mathcal{L} = \sqrt{-g} \left[R - 2\Lambda - F_{MN}^2 \right]$$

BH solution: charged BH

Reissner-Nordstrom AdS BH

M,N...: bulk indices

μ, ν, \dots : bdy indices

Adding matters

$$\mathcal{L} = \sqrt{-g} [R - 2\Lambda - F_{MN}^2]$$

Further add matter (often as perturbs.)

- Complex scalar \rightarrow holographic superconductor/superfluid

Hartnoll - Herzog - Horowitz, 0803.3295; 0810.1563
Gubser, 0801.2977

$$\mathcal{L}_M = -\sqrt{-g} [|D_M \psi|^2 + m^2 |\psi|^2] \quad D_M = \partial_M - ieA_M$$

- Fermion \rightarrow holographic Fermi/non-Fermi liquids

Lee, 0809.3402

Liu - McGreevy - Vegh, 0903.2477
Cubrovic - Zaanen - Schalm, 0904.1993

$$\mathcal{L}_M = -\sqrt{-g} i [\bar{\psi} \Gamma^M D_M \psi - m \bar{\psi} \psi]$$

$$D_M = \partial_M + \frac{1}{4} \omega_{abM} \Gamma^{ab} - ieA_M$$

Holographic superconductors



Typically, Einstein-Maxwell-complex scalar system:

$$\mathcal{L} = \sqrt{-g} \left[R - 2\Lambda - \frac{1}{q^2} \left(F_{MN}^2 + |\nabla_M \psi - i A_M \psi|^2 + m^2 |\psi|^2 \right) \right]$$

■ Phase structure

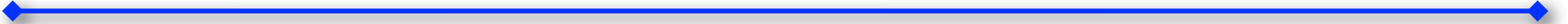
Hartnoll - Herzog - Horowitz, 0803.3295; 0810.1563
Gubser, 0801.2977

- $T > T_c: \psi = 0$
- $T < T_c: \psi \neq 0 \rightarrow \psi: \text{order parameter}$
~ dual to “macroscopic wave fn”

■ Dual to superconductor/superfluids

- electromagnetic responses: diverging DC conductivity, London eq.

Interesting “theoretical lab”



■ critical phenomena

phase transition: 2nd order

→ critical exponents: Φ^4 mean-field theory

[Maeda - Natsuume - Okamura, 0904.1914](#)

dual Ginzburg-Landau theory can be derived including numerical coeffs.

→ “first-principle” derivation of GL theory

[Natsuume - Okamura, 1801.03154](#)

■ defect formation

Cool critical system

→ defect formation (vortices)

→ **Kibble-Zurek scaling**

[Sonner - del Campo - Zurek, 1406.2329](#)

[Chesler - Garcia-Garcia - H. Liu, 1407.1862](#)

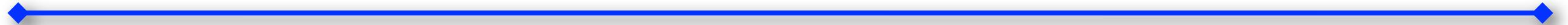
[Natsuume - Okamura, 1703.00933](#)

[Adams - Chesler - H. Liu, 1212.0281](#)

■ Superfluid turbulence

→ **Kolmogorov scaling**

Other applications



■ 2nd-order hydrodynamics

hydrodynamics: effective theory or derivative expansion

standard hydro: 0th or 1st order in expansion

Not just a minor improvement, mandatory for many reasons

initiated by

Baier - Romatschke - Son - Starinets - Stephanov, 0712.2451

Bhattacharyya - Hubeny - Minwalla - Rangamani, 0712.2456

Natsuume - Okamura, 0712.2916

■ Turbulences (classical & quantum)

Kolmogorov scaling observed

Adams - Chesler - H. Liu, 1307.7267

1212.0281

$$E(k) \propto k^{-5/3}$$

■ Many-body quantum chaos

quantum counterpart of Lyapunov exponent → bound

Maldacena - Shenker - Stanford, 1503.01409

Chaos



Classical:

Sensitivity on initial conditions “butterfly effect”

$$\delta x(t) \sim \delta x(0) e^{\lambda t}$$

λ : Lyapunov exponent

Quantum:

Certain correlators (“out-of-time ordered correlators”) behave as

$$C(t) \sim e^{2\lambda t}$$

in large- N_c thermal systems, and one would define λ .

$$C(t) = -\langle [W(t), V(0)]^2 \rangle$$

$\langle \rangle$: thermal expectation value

e.g. $W(t) = x(t)$, $V = p(0)$, semiclassically

$$[x(t), p(0)] \rightarrow i\hbar \{x(t), p(0)\} = i\hbar \frac{\partial x(t)}{\partial x(0)} \sim i\hbar e^{\lambda t}$$

Chaos bound



Maldacena - Shenker - Stanford, 1503.01409

$$\lambda \leq \frac{2\pi T}{\hbar}$$

Conjecture: Systems w/ BH duals saturate the bound.
“BH is maximally chaotic”

e.g. SYK model & its variations?

Summary

- Some “killer applications”

- η/s
 - non-Fermi liquids

- Some lessons

- Universal relations can exist for transports in strong coupling limit.
Universal results are usually related to horizon properties.
 - The phase diagram of (strongly-coupled) gauge theories are as rich as condensed-matter systems.
- Hopefully, AdS/CFT will be a standard tool for theoretical physics.