

# Extracting the parameters of the PMNS matrix from future neutrino oscillation experiments

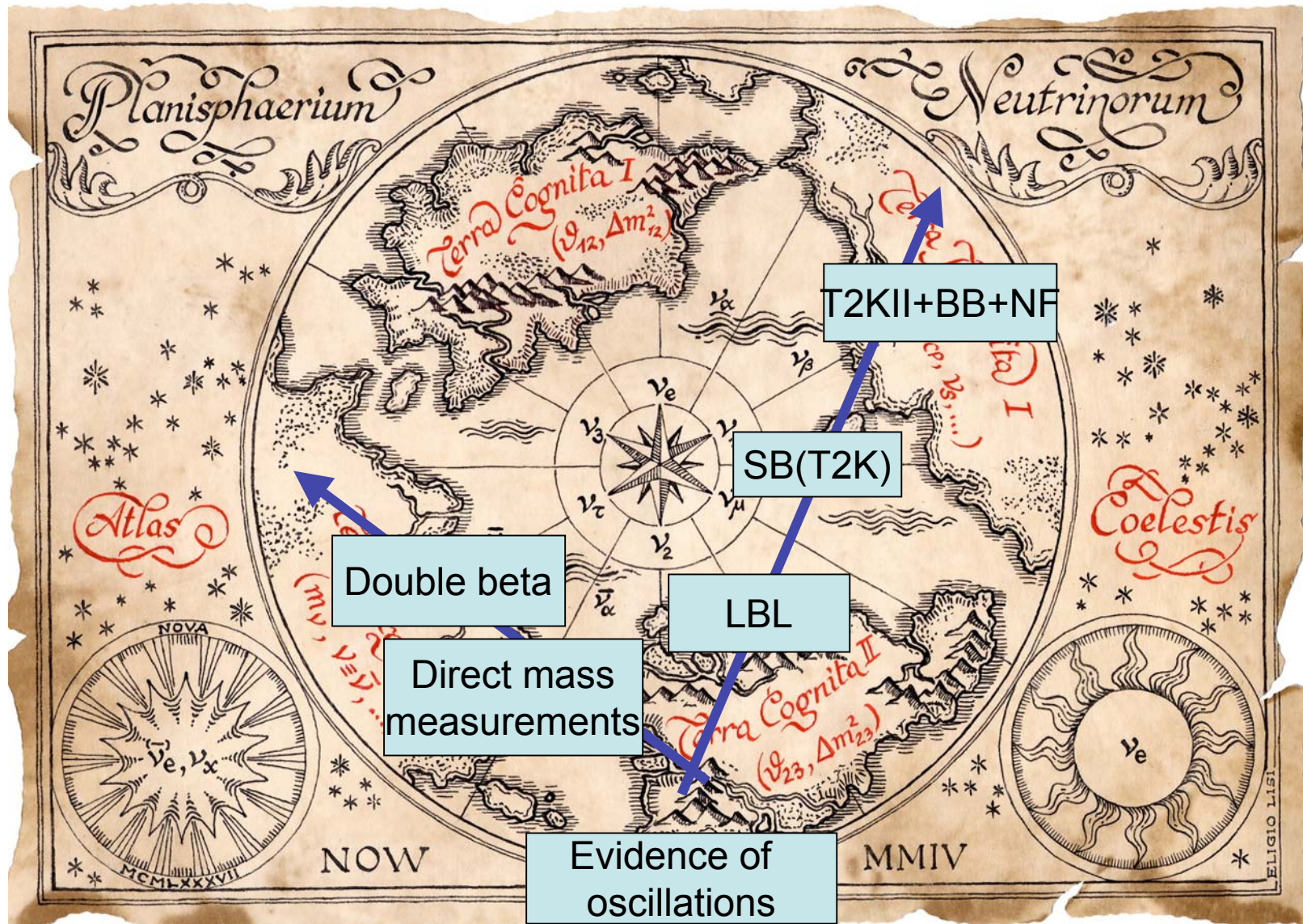
I



J.J. Gómez-Cadenas  
U. Valencia/KEK

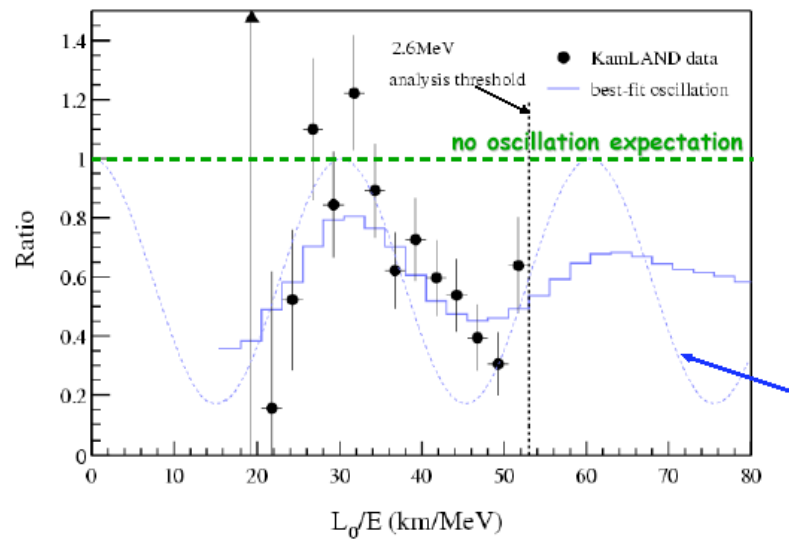
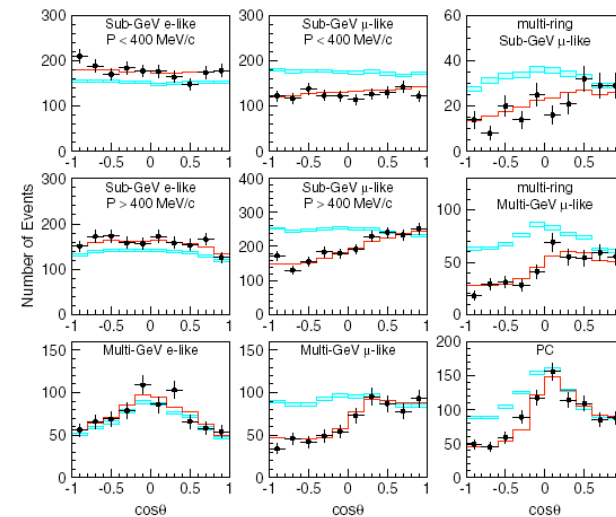
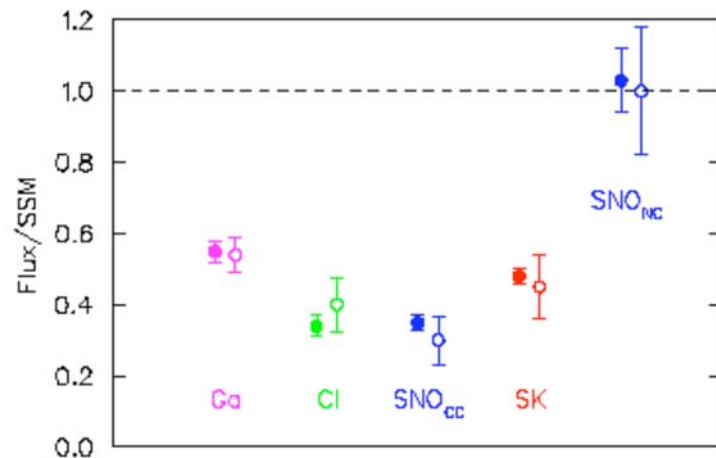
Original results presented in this  
talk based on work done in  
collaboration with P. Hernández, J.  
Burguet-Castell, D. Casper &  
P. Novella

# A trip to terra incognita



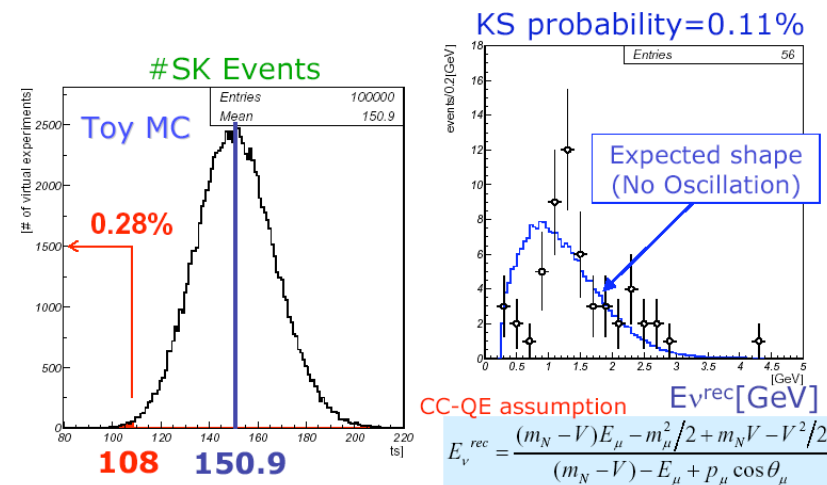


# Evidence of neutrino oscillations



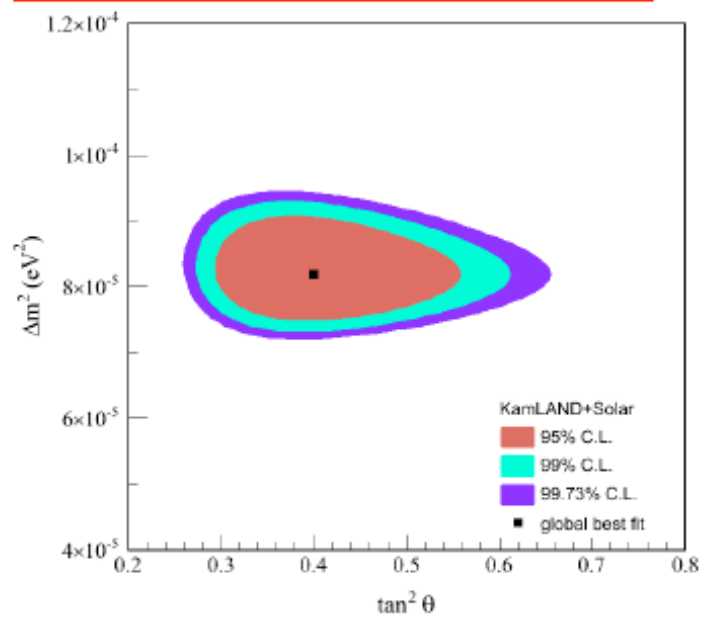
$L_{norm}(f^x)$

$L_{shape}(f^x)$



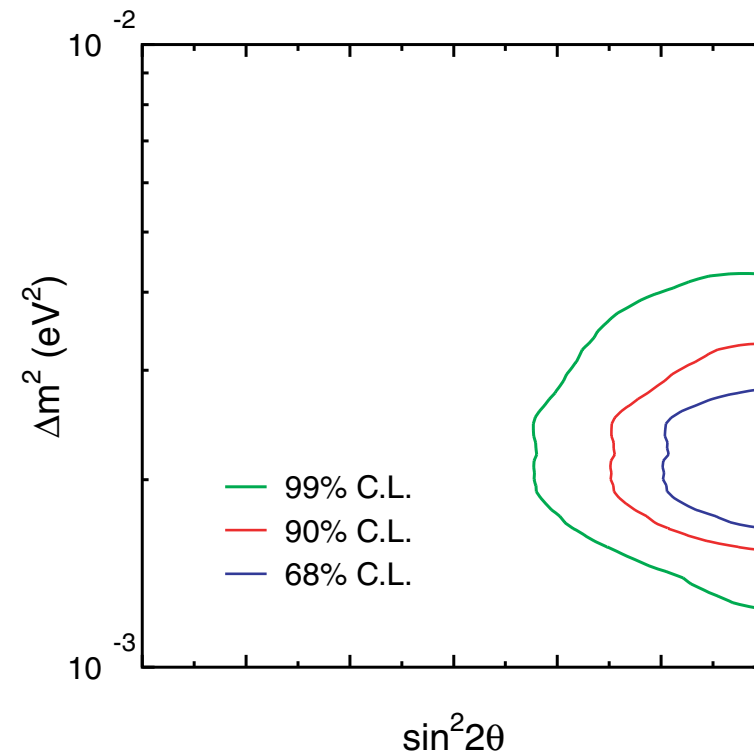
$$\Delta m_{12}^2 = 8.2^{+0.6}_{-0.5} \times 10^{-5} \text{ eV}^2$$

$$\tan^2 \theta_{12} = 0.40^{+0.09}_{-0.07}$$

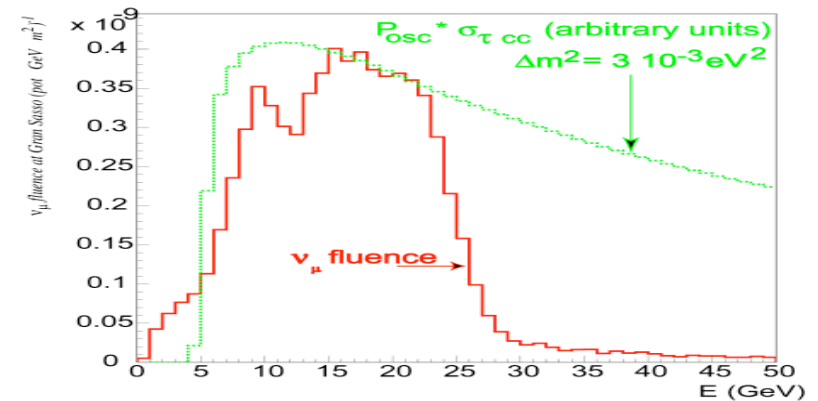
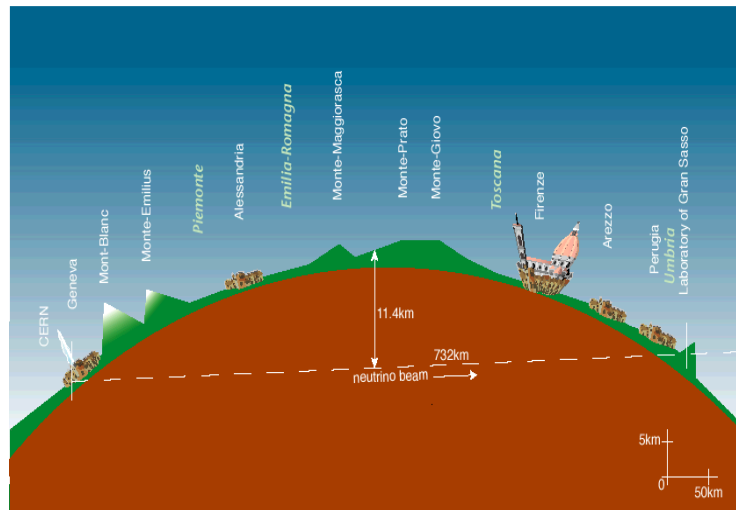
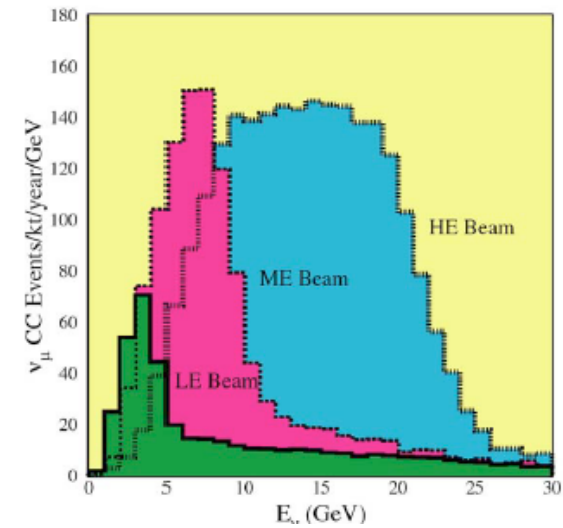
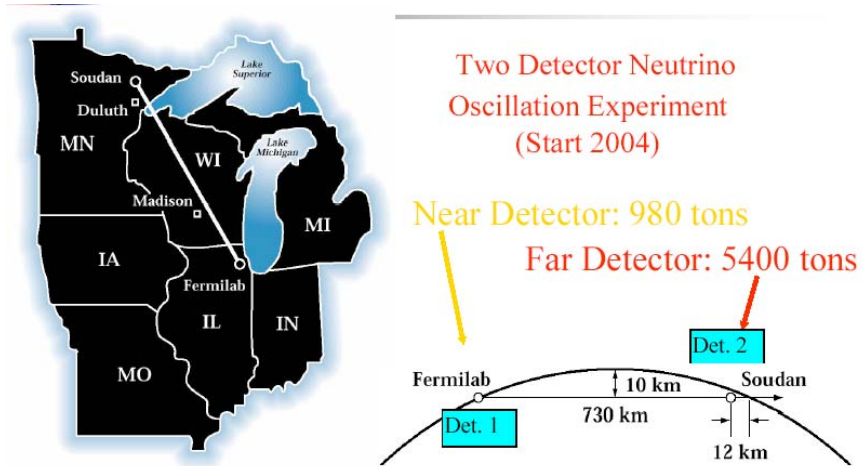


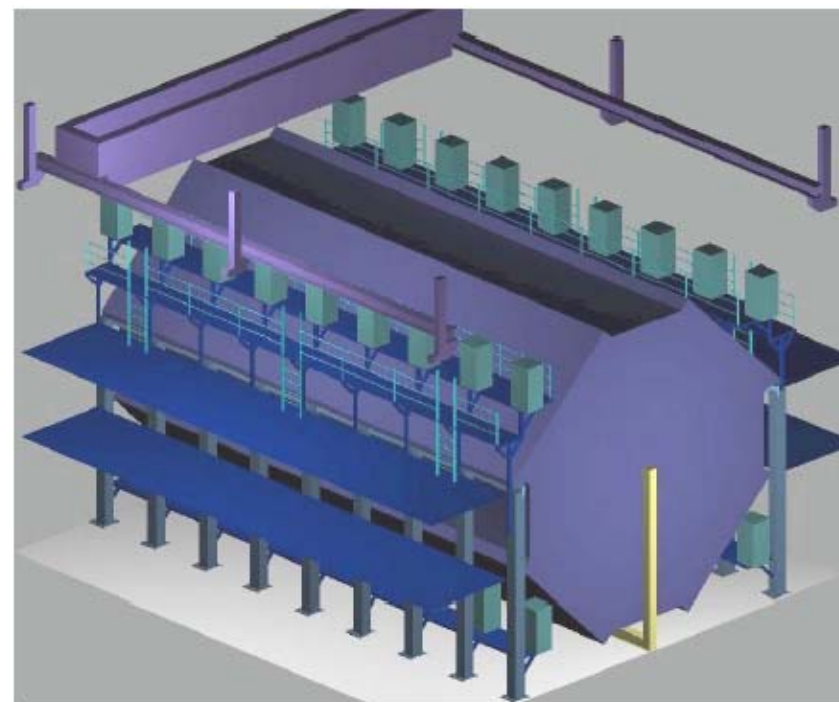
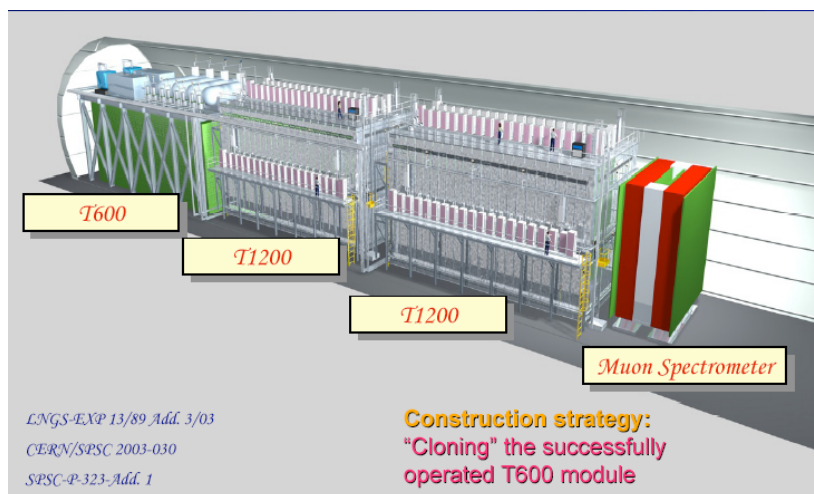
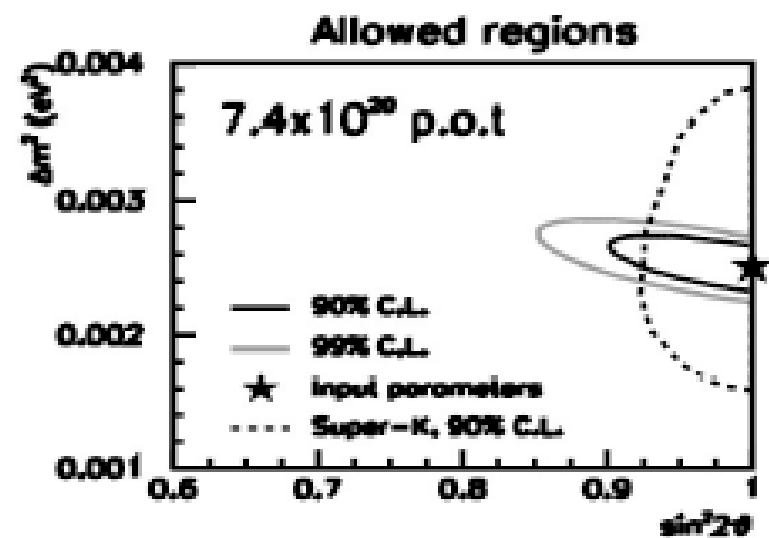
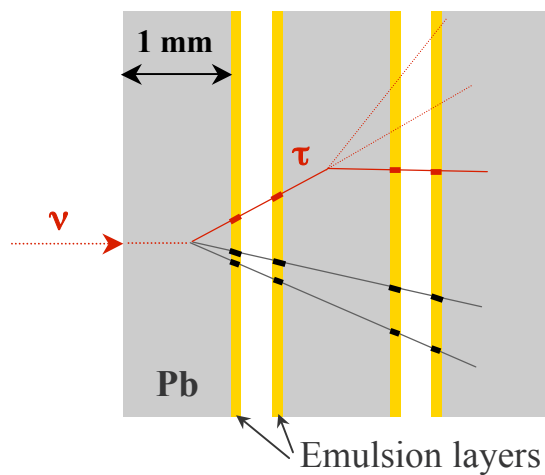
$$\Delta m^2 = 2.1 \cdot 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta \approx 1$$



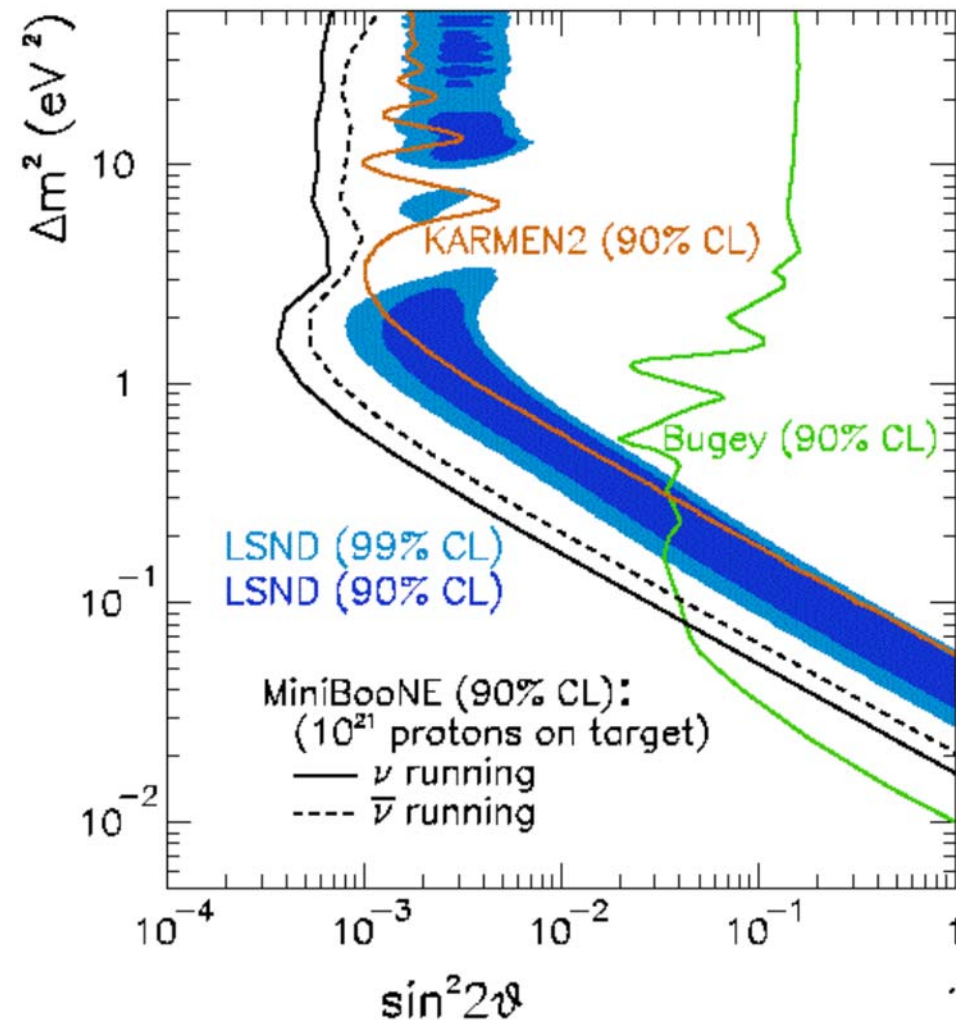
# Long Base Line Experiments





# The last anomaly

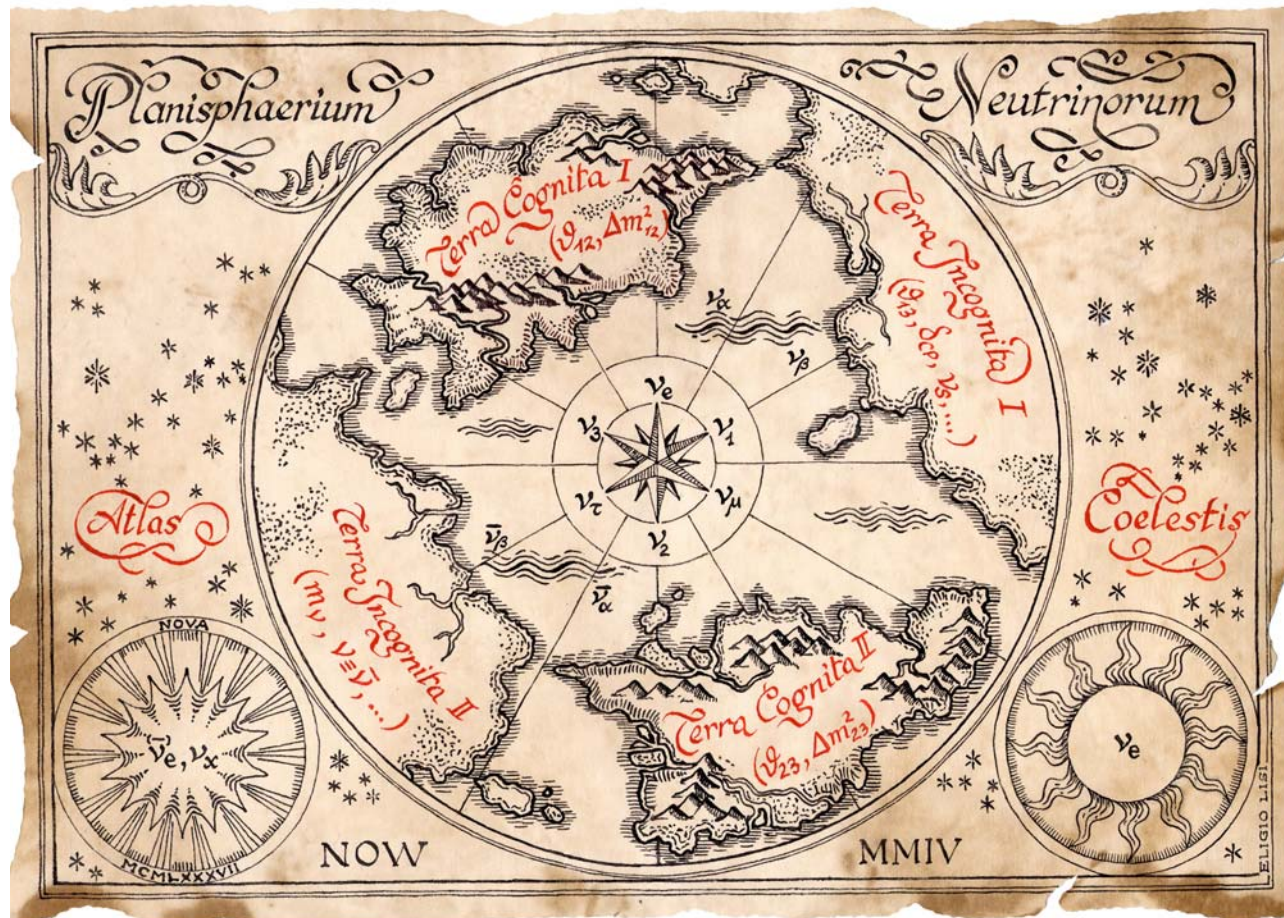
- LSND
  - Does not fit in a 3 family scenario
    - $2 \Delta m^2$
- MiniBooNE (Fnal )
  - Testing it ...
- If it is confirmed (2005)!?
  - Change our vision of  $\nu$
  - (has happened before...)



$$\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e ?$$



# Neutrino masses mixing and oscillations





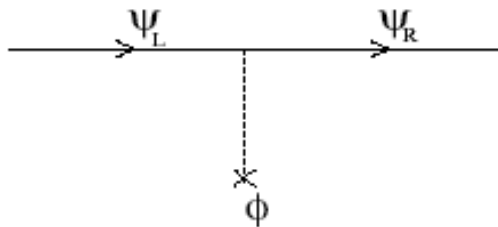
# Neutrino masses. Dirac versus Majorana



$\nu_R$  is a distinct state

$$\lambda \overline{\psi_R} \phi \psi_L \xrightarrow{SSB} \lambda v \overline{\psi_R} \psi_L$$

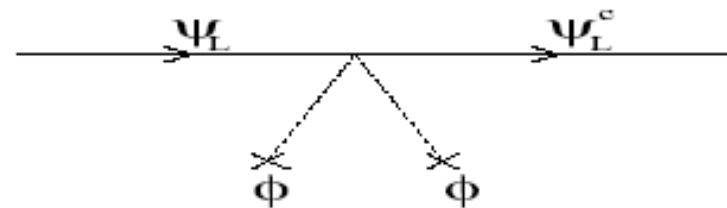
$$m_\nu = \lambda v$$



$\nu_R$  is the  $\nu_L$  antineutrino

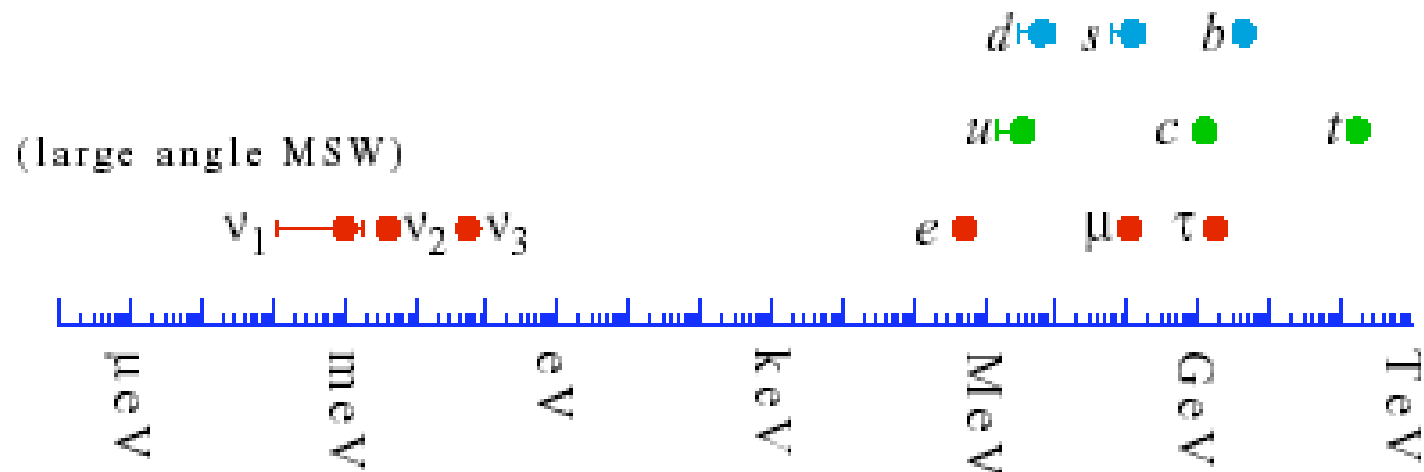
$$\frac{1}{M} \overline{\psi_L^c} \lambda \phi^T \phi \psi_L \xrightarrow{SSB} \frac{\lambda v^2}{M} \overline{\psi_L^c} \psi_L$$

$$m_\nu = \lambda \frac{v^2}{\Lambda}, \quad \Lambda \rightarrow \text{a new physics scale!?!}$$



# Smallness of neutrino masses

Why neutrino masses are so much smaller than the other fermion masses???



# Smallness of Neutrino masses. Dirac versus Majorana



Give a small value to  $\lambda$



$M \gg v$

Why  $\lambda$  is so much smaller for neutrinos than for the other leptons?? (*hierarchy problem!!!*)

$$m_\nu = \lambda \frac{v^2}{\Lambda} \text{ only for } \nu!$$

Same order than other fermions

New physics scale.  $\Lambda$  very large, so  $m_\nu$  very small



# Flavour basis

Mass couplings

$$\begin{pmatrix} \bar{\nu}_R^e & \bar{\nu}_R^\mu & \bar{\nu}_R^\tau \end{pmatrix} \mathbf{M}_{3 \times 3} \begin{pmatrix} \nu_L^e \\ \nu_L^\mu \\ \nu_L^\tau \end{pmatrix}$$

$$\mathbf{M}_{3 \times 3}^{Dirac} = \lambda_{3 \times 3} v$$

$$\mathbf{M}_{3 \times 3}^{Majorana} = \lambda_{3 \times 3} \frac{v^2}{\Lambda}$$

Weak couplings

$$\begin{pmatrix} \bar{e}_L & \bar{\mu}_L & \bar{\tau}_L \end{pmatrix} W_\mu^+ \gamma^\mu \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_L^e \\ \nu_L^\mu \\ \nu_L^\tau \end{pmatrix}$$

# Mass basis and mixing matrix

Diagonalize the mass matrix

$$\begin{pmatrix} -1 & -2 & -3 \\ \nu_R & \nu_R & \nu_R \end{pmatrix} \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} \begin{pmatrix} \nu_L^1 \\ \nu_L^2 \\ \nu_L^3 \end{pmatrix}$$

Weak couplings

$$\begin{pmatrix} \bar{e}_L & \bar{\mu}_L & \bar{\tau}_L \end{pmatrix} W_\mu^+ \gamma^\mu V_{PMNS} \begin{pmatrix} \nu_L^1 \\ \nu_L^2 \\ \nu_L^3 \end{pmatrix}$$

Mixing matrix connecting mass and weak eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = V_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

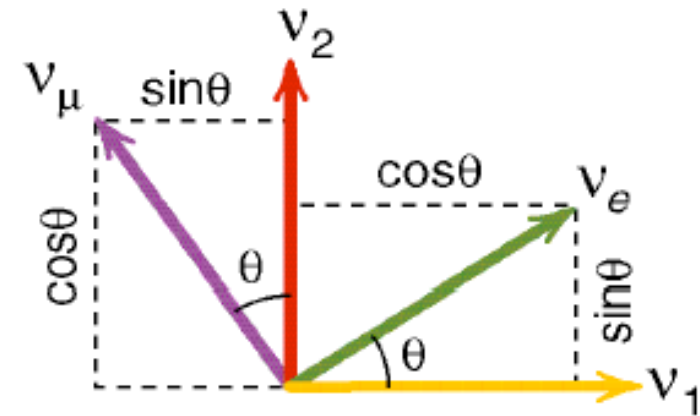
Pontecorvo  
Maki  
Nakagawa  
Sakata

# Mixing in two families

Consider for simplicity two families.  
Then the mixing matrix depends of  
a single parameter, the mixing  
angle  $\theta$

That is, the weak and mass  
eigenstates are connected by a  
simple two-dimensional rotation

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$



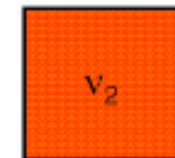
Mass states

First



$\nu_1$

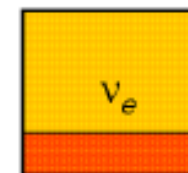
Second



$\nu_2$

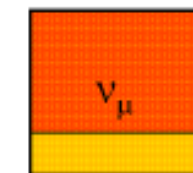
Weak states

First



$\nu_e$

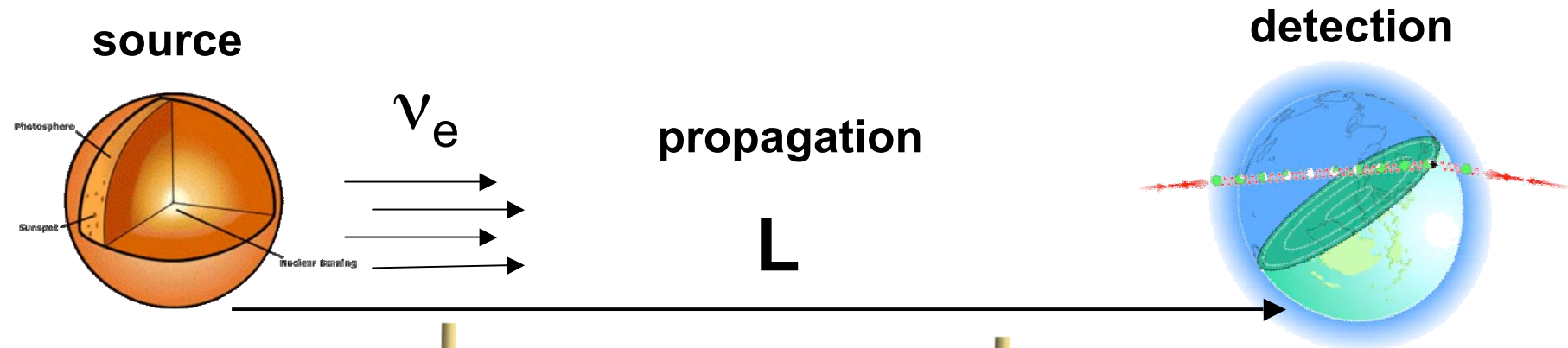
Second



$\nu_\mu$



# Neutrino oscillations



The weak interaction produces neutrinos of a given flavor

$$\begin{aligned} |\nu(x_0)\rangle &= |\nu_e\rangle \\ &= c|\nu_1\rangle + s|\nu_2\rangle \end{aligned}$$

The mass eigenstates  
Propagate at different velocities

$$\begin{aligned} |\nu(x)\rangle &= c|\nu_1\rangle e^{i(Et - \vec{k}_1 \vec{x})} \\ &+ s|\nu_2\rangle e^{i(Et - \vec{k}_2 \vec{x})} \end{aligned}$$

Detection again via weak interaction

$$\nu_\mu N \rightarrow \mu^- X$$

$$\nu_e N \rightarrow e^- X$$

$$P(\nu_e \rightarrow \nu_\mu) = |\langle \nu_\mu | \nu(t) \rangle|^2$$

# Oscillation probability

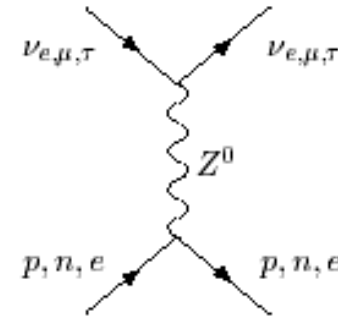
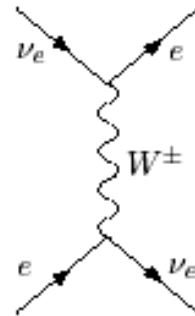
$$\overline{|k|} \approx E - \frac{m^2}{2E} \quad |\nu(L)\rangle \approx e^{-iEt} (c \cdot e^{-i\frac{m_1^2}{2E}L} |\nu_1\rangle + s \cdot e^{-i\frac{m_2^2}{2E}L} |\nu_2\rangle)$$

$$P(\nu_e \rightarrow \nu_\mu) = |\langle \nu_\mu | \nu(L) \rangle|^2 = \left| -s c e^{-i\frac{m_1^2}{2E}L} + c s e^{-i\frac{m_2^2}{2E}L} \right|^2$$

$$= 4s^2 c^2 (1 - \cos \frac{m_1^2 - m_2^2}{2E} L) = \sin^2(2\theta) \sin^2(\frac{\Delta m_{12}^2}{4E} L)$$

# Neutrino oscillations in Matter

$\nu_e, \nu_\mu, \nu_\tau$  interact with  $e$ ,  
 $p$  and  $n$  of matter via  
NC interactions ( $Z$ ).  
Only  $\nu_e$  interact via (CC)  
with the electrons of  
the medium



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Oscillation probability change in matter. There can be a resonant enhancement of the oscillation probability. **The Mikheyev-Smirnov-Wolfenstein (MSW) effect.**

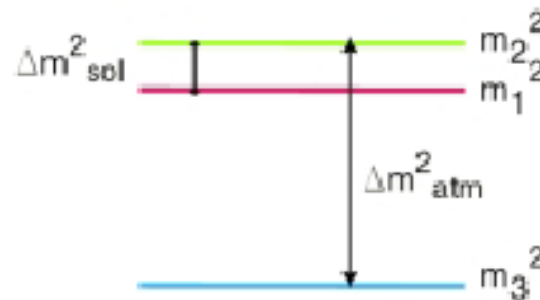
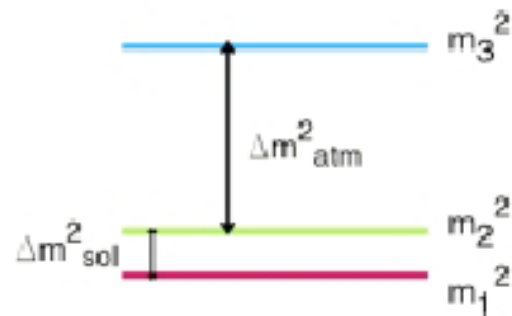
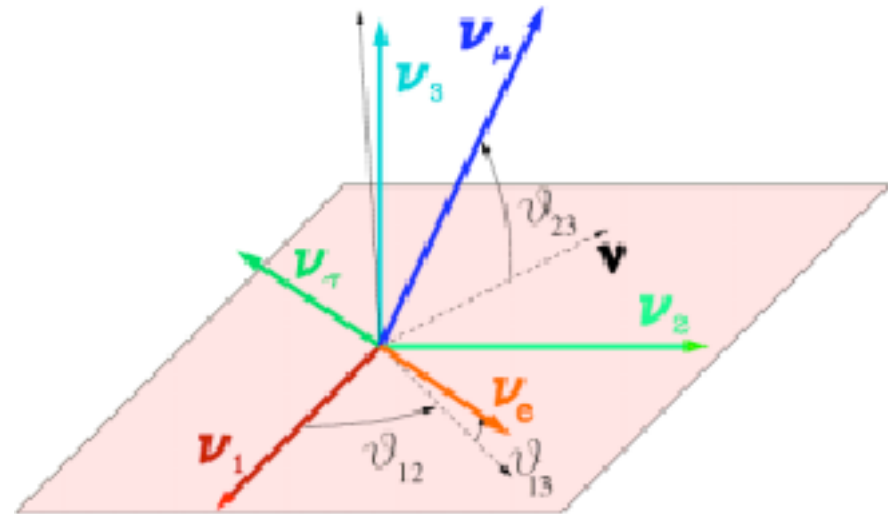
$P_{\text{osc}}^{\text{matter}}$  can be large ( $\approx 1$ ) even if mixing angle in vacuum is small



# Oscillations in 3D

Solar data. The  $\nu_e$  is oscillating (via enhanced matter resonance, MSW) to the other two flavours with  $\Delta m_{12}^2 \approx 10^{-4} \text{ eV}^2$ ,  $\theta_{12} \approx 30^\circ$

Atmospheric data. Largely  $\nu_\mu \rightarrow \nu_\tau$  (vacuum) oscillations with  $\Delta m_{23}^2 \approx 10^{-3} \text{ eV}^2$ ,  $\theta_{23} \approx 45^\circ$



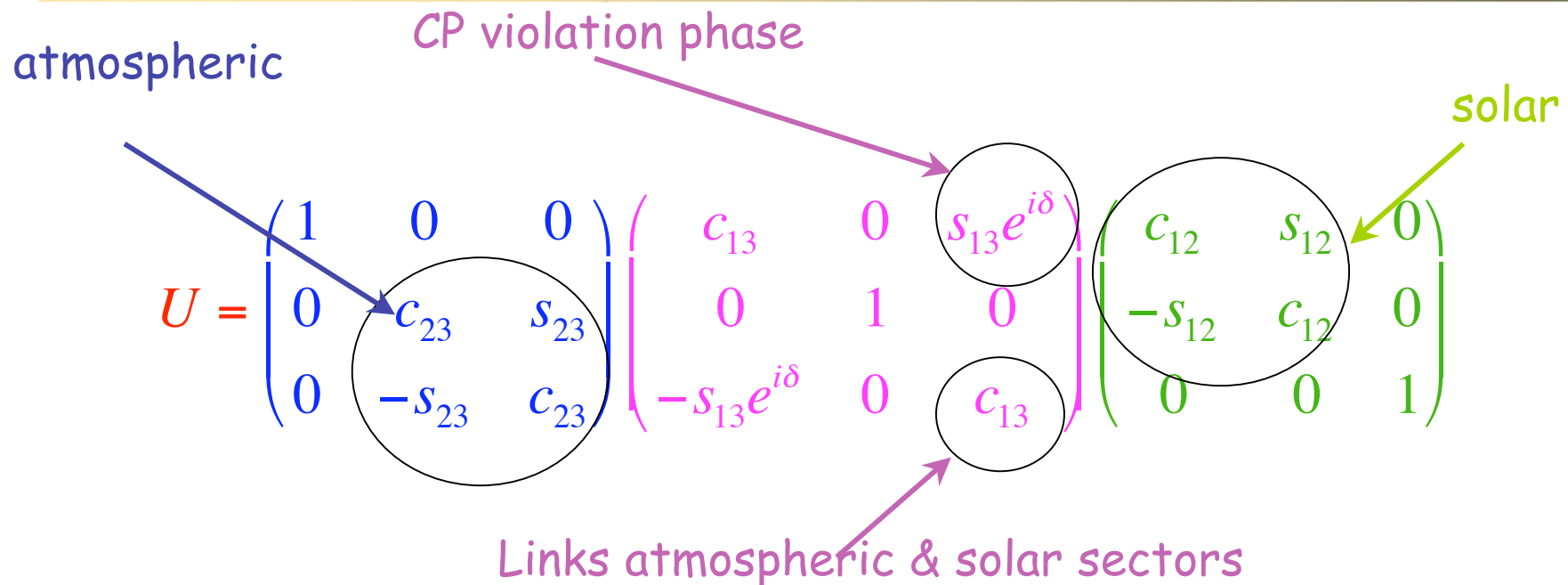
Two mass differences  $\rightarrow$  need 3 neutrinos

# The PMNS matrix

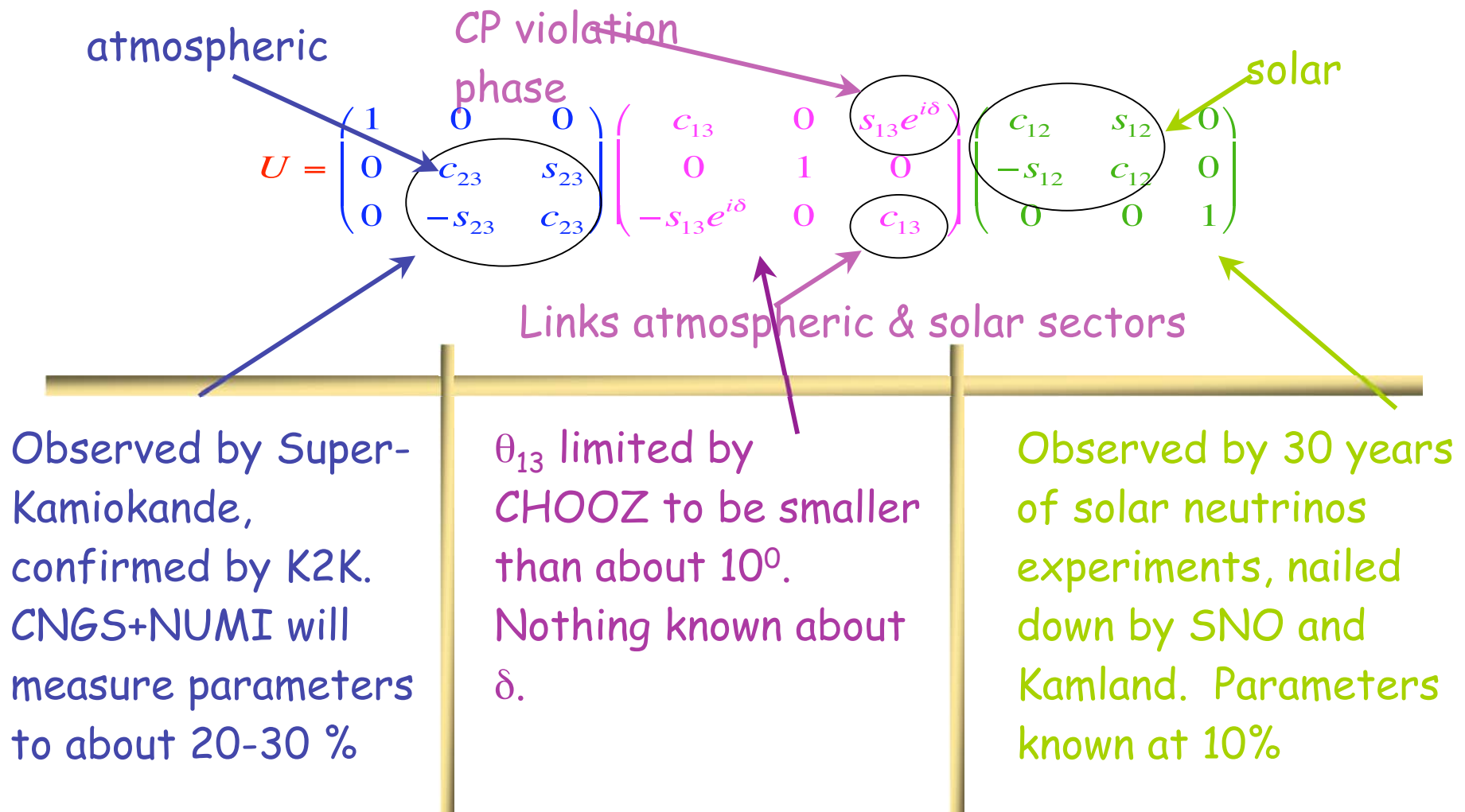
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Unless the other two angles  $\theta_{13}$  is small  
(experimental upper limit  $\theta_{13} < 10^\circ$ )

If  $\delta \neq 0, \pi, 2\pi \dots$  then weak interactions  
violate CP symmetry in the lepton sector  
(as in the quark sector)



# Neutrino oscillation physics: you are here





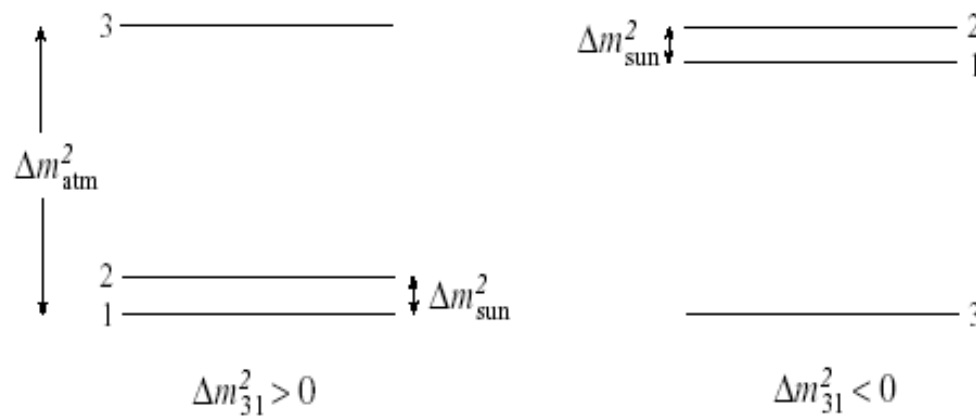
# The quest

$$\begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}$$

What is the value of  $\theta_{13}$ ?

What is the value of  $\delta$ ?

Is there CP violation?



Which mass spectrum?

# The quest (II)



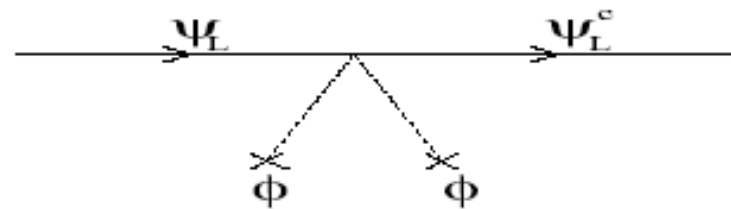
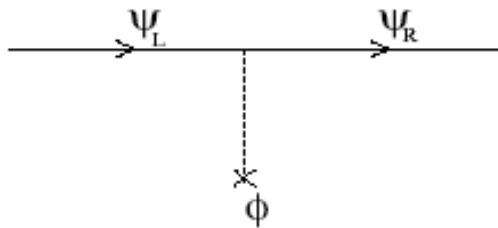
Dirac or Majorana?

If Majorana, what is the value of  $\Lambda$ ?



$$m_\nu = \lambda \nu$$

$$m_\nu = \lambda \frac{\nu^2}{\Lambda}$$



# $\theta_{13}$ : link between atmospheric and solar oscillations

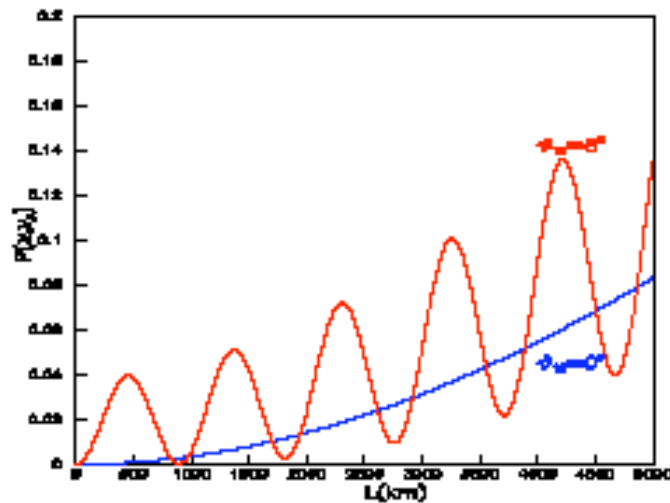
$\theta_{13} = 0$	$P(\nu_e \rightarrow \nu_\mu) = c_{23}^2 \sin^2 2\theta_{12} \sin^2\left(\frac{\Delta m_{12}^2 L}{4E}\right)$	solar
$\theta_{13} \neq 0$	$P(\nu_e \rightarrow \nu_\mu) = c_{23}^2 \sin^2 2\theta_{12} \sin^2\left(\frac{\Delta m_{12}^2 L}{4E}\right)$ $+ s_{23}^2 \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{23}^2 L}{4E}\right)$ $+ J \cos\left(\pm\delta - \frac{\Delta m_{23}^2 L}{4E}\right) \frac{\Delta m_{12}^2 L}{4E} \sin\left(\frac{\Delta m_{23}^2 L}{4E}\right)$	solar atmospheric interference

$$J = c_{13} \sin 2\theta_{13} \sin 2\theta_{12} \sin 2\theta_{23}$$

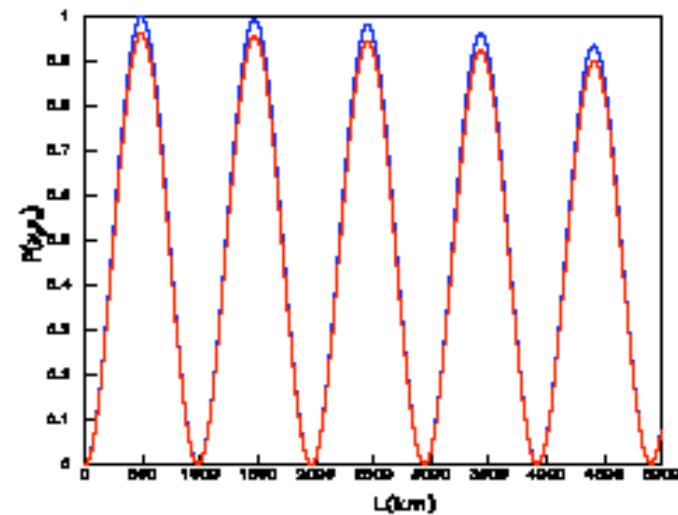
# Sensitivity to $\theta_{13}$ : subleading transitions

Subleading:  $\nu_e \rightarrow \nu_\mu$ ,  $\nu_e \rightarrow \nu_\tau$ : sensitive to  $\theta_{13}$  and  $\delta$

Leading:  $\nu_\mu \rightarrow \nu_\tau$ : rather insensitive to  $\theta_{13}$  and  $\delta$



$$P(\nu_e \rightarrow \nu_\mu)$$



$$P(\nu_\mu \rightarrow \nu_\tau)$$

# CP violation in $\nu$ oscillations

CP violation in  $\nu$  oscillations  $\rightarrow$  Oscillation probability is different for neutrinos and antineutrinos.

Thus, one can measure non-vanishing asymmetries  $A_{CP}$

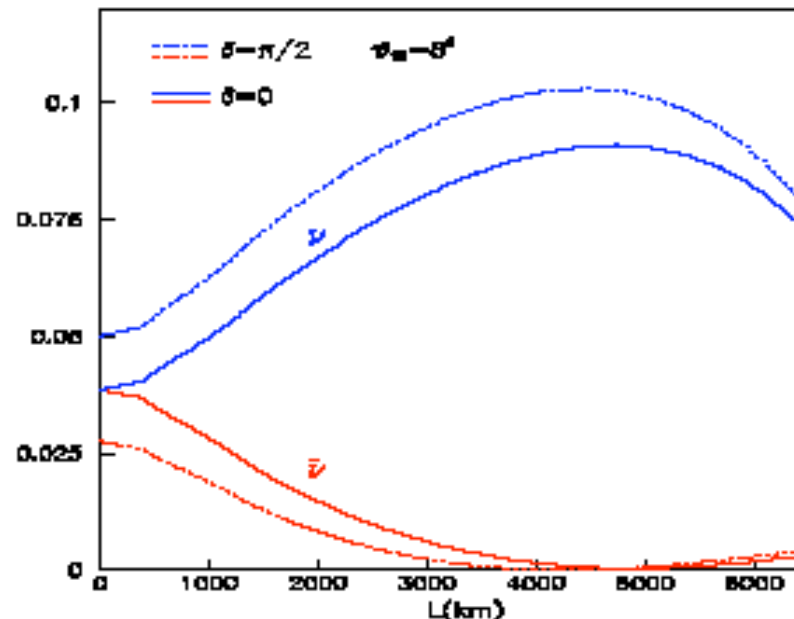
$$A_{\nu_e \nu_\mu}^{CP} = \frac{P(\nu_e \rightarrow \nu_\mu) - P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)}{P(\nu_e \rightarrow \nu_\mu) + P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu)}$$

$$= \frac{2 \overbrace{\sin \delta}^{\text{CP violating phase}} \overbrace{c_{13} \sin 2\theta_{13}}^{\text{Interference}} \overbrace{\sin 2\theta_{12} \frac{\Delta m_{12}^2 L}{4E}}^{\text{solar}} \overbrace{\sin 2\theta_{23} \sin^2 \frac{\Delta m_{13}^2 L}{4E}}^{\text{atmospheric}}}{P_{\nu_e \nu_\mu}^{CP\text{-even}}}$$

# Determine mass spectrum

The same experiments that will measure  $\delta$  and  $\theta_{13}$  can establish the  $\nu$  mass hierarchy by studying the matter effects on Earth

- One gets a large amplification/suppression of  $P(\nu_e \rightarrow \nu_\mu)$  depending on whether the hierarchy is "natural" or "inverted"





# Extracting the parameters of the PMNS matrix from future neutrino oscillation experiments

I



J.J. Gómez-Cadenas  
U. Valencia/KEK

Original results presented in this  
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# Measurement of $\theta_{13}$ . Correlations

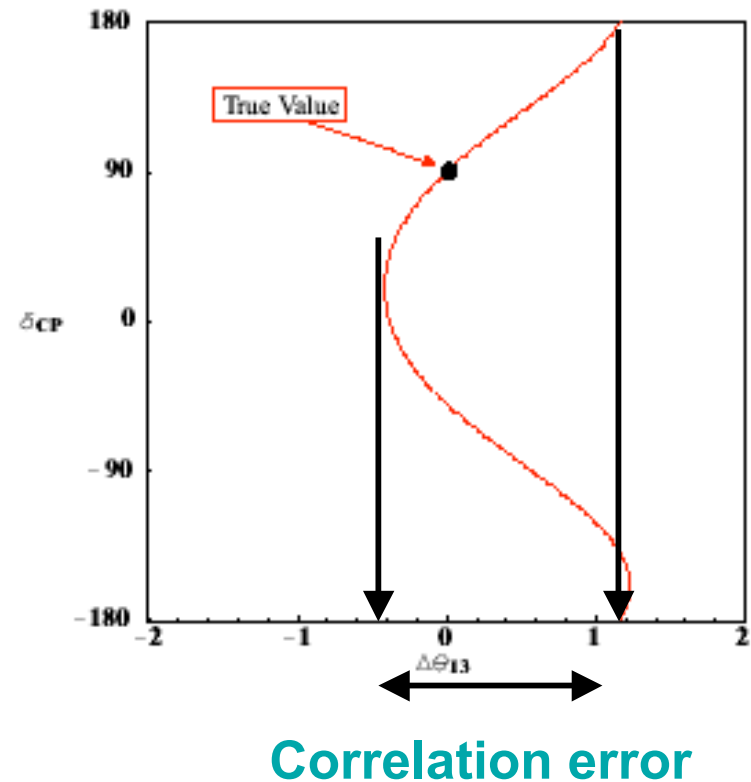
$$P_{\nu_e \nu_\mu}^{\pm}(\theta_{13}, \delta) \approx X_{\pm} \sin^2 2\theta_{13} + \left( Y_{\pm}^c \cos \delta \mp Y_{\pm}^s \sin \delta \right) \sin 2\theta_{13} + Z$$

(DeRujula99, Cervera00)

The appearance probability  $P(\bar{\theta}_{13}, \bar{\delta})$  obtained for neutrinos at fixed (E,L) with input parameters  $(\bar{\theta}_{13}, \bar{\delta})$  has no unique solution. Indeed the equation:

$$P_{\alpha\beta}(\bar{\theta}_{13}, \bar{\delta}) = P_{\alpha\beta}(\theta_{13}, \delta)$$

has a continuous number of solutions



# Measurement of $\theta_{13}$ : Intrinsic degeneracy

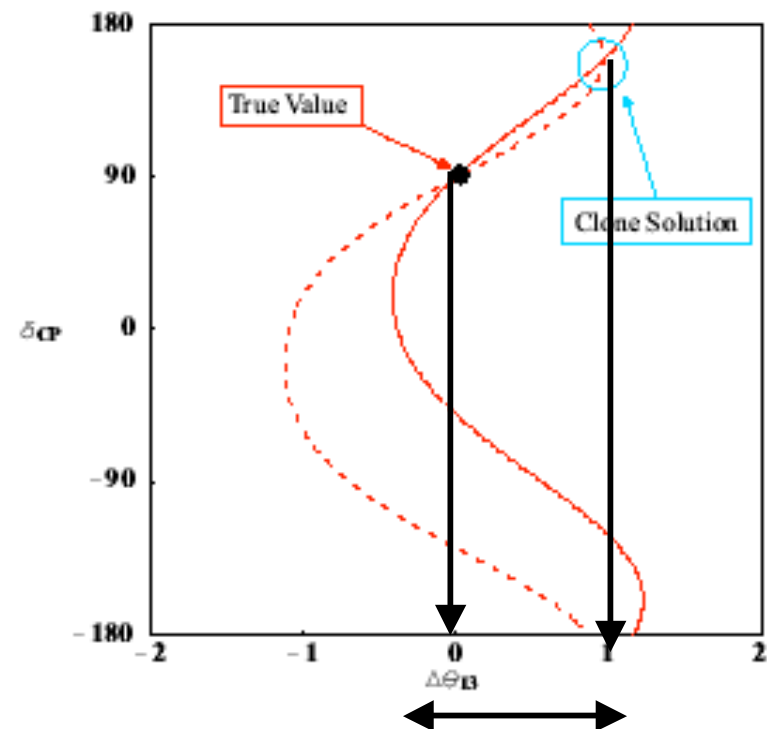
$$P_{\nu_e \nu_\mu}^{\pm}(\theta_{13}, \delta) \approx X_{\pm} \sin^2 2\theta_{13} + \left( Y_{\pm}^c \cos \delta \mp Y_{\pm}^s \sin \delta \right) \sin 2\theta_{13} + Z$$

J. Burguet-Castell *et al.* Nucl. Phys. B 608 (2001) 301;

For neutrinos and antineutrinos of the same energy and baseline the system of equations

$$P_{\alpha\beta}^{\pm}(\bar{\theta}_{13}, \bar{\delta}) = P_{\alpha\beta}^{\pm}(\theta_{13}, \delta)$$

has two intersections. The true one  $(\bar{\theta}_{13}, \bar{\delta})$  and a second, energy dependent point (clone) that introduces an ambiguity in the determination of the parameters



Degeneracy error

# Discrete degeneracies

3. H. Minakata and H. Nunokawa, JHEP 0110 (2001) 001.
4. V. Barger, D. Marfatia and K. Whisnant, Phys. Rev. D 65 (2002) 073023.

Two other sources of degeneracy.

1. Ignorance of the sign of  $\Delta m_{23}^2$        $s_{atm} = \text{sgn}(\Delta m_{23}^2)$
2. Ignorance of the octant of  $\theta_{23}$        $s_{oct} = \text{sgn}(\tan(2\theta_{23}))$

These two discrete values assume the value  $\pm 1$

# Eightfold degeneracy

4. V. Barger, D. Marfatia and K. Whisnant, Phys. Rev. D 65 (2002) 073023.

Experimental measurement. Number of observed charged leptons  $N_\beta$

Integrate  $P$  over  $\Phi_\nu$ ,  $\sigma$ , and detector efficiencies.

$$N_\beta^\pm(\bar{\theta}_{13}, \bar{\delta}; \bar{s}_{atm}, \bar{s}_{oct}) = N_\beta^\pm(\theta_{13}, \delta; s_{atm} = \bar{s}_{atm}, s_{oct} = \bar{s}_{oct}) \quad \beta = e, \mu, \tau$$

Since  $s_{atm}$  &  $s_{oct}$  not known, one should consider also 2 other equations which result in an 8-fold degeneracy

$$N_\beta^\pm(\bar{\theta}_{13}, \bar{\delta}; \bar{s}_{atm}, \bar{s}_{oct}) = N_\beta^\pm(\theta_{13}, \delta; s_{atm} = -\bar{s}_{atm}, s_{oct} = \bar{s}_{oct})$$

$$N_\beta^\pm(\bar{\theta}_{13}, \bar{\delta}; \bar{s}_{atm}, \bar{s}_{oct}) = N_\beta^\pm(\theta_{13}, \delta; s_{atm} = \bar{s}_{atm}, s_{oct} = -\bar{s}_{oct})$$

$$N_\beta^\pm(\bar{\theta}_{13}, \bar{\delta}; \bar{s}_{atm}, \bar{s}_{oct}) = N_\beta^\pm(\theta_{13}, \delta; s_{atm} = -\bar{s}_{atm}, s_{oct} = -\bar{s}_{oct})$$

# How to solve degeneracies

1. Use spectral information on oscillation signals → experiment with energy resolution
2. Combine experiments differing in E/L (and/or matter effects) → need two experiments
3. Include other flavor channels: silver channel  $\nu_e \rightarrow \nu_\tau$ . Need a tau-capable detector

Burguet et al, **Nucl.Phys.B608:301-318,2001**

*Donini, Meloni, Miggliozzi, hep-ph/0206034*

*Donini, Meloni, Rigolin, hep-ph/hep-ph/0312072*

